A bit of theory and simulations

Philipp Girichidis, 13.02.2024

An introduction to cosmic rays

Overview

- What are cosmic rays (CRs)
- basics of interactions and transport (microscopic perspective)
- particle acceleration and CR origin
- fluid approaches (macroscopic perspective)
- CR-MHD fluids and numerical models / applications in galaxies and the ISM

What are Cosmic Rays

Historical notes

- 1909: Theodor Wulf invents electrometer and finds increasing radiation on top of Eiffel Tower
- 1911: Domenico Pacini: experiments at sea level and below (higher radiation at sea level)
- Discovered in 1912 by Victor Hess during balloon-borne experiments: radiation increases with altitude









Historical notes

- 1909: Theodor Wulf invents electrometer and finds increasing radiation on top of Eiffel Tower
- 1911: Domenico Pacini: experiments at sea level and below (higher radiation at sea level)
- Discovered in 1912 by Victor Hess during balloon-borne experiments: radiation increases with altitude
- "Solid knowledge" about cosmic rays (Millikan 1926 Proc. NAS, 12, 48) "We can draw some fairly reliable conclusions of a general sort as to the origin of these very penetrating and high frequency rays"
- Now known to be highly energetic charged particles (p, e-, e+, α , heavy bare nuclei)





Cosmic ray energy distribution low energies

- low-E ($\lesssim 1~GeV$) spectrum poorly constrained: solar modulation





Cosmic ray energy distribution intermediate and high energies

- 100 GeV $\lesssim E \lesssim 10^{16}$ eV power law $n(E)dE \propto E^{-2.7}$
- "knee" at $10^{15}\,eV$ "end" of galactic CRs
- $10^{16} \text{ eV} \leq E \leq 10^{19} \text{ eV}$ power law $n(E) dE \propto E^{-3}$
- "ankle" at $10^{19}\,eV$
- $\sim 10^{20} \, \mathrm{eV}$: GZK (Greisen-Zatsepin-Kuzmin) cutoff: theoretical upper limit (efficient losses due to interaction with CMB radiation)



Swordy 2001, Space Sci. Rev., 99, 85

Composition

• *e*⁻ dominate at low E, *p* at high E



- in addition heavy nuclei
- abundances are above solar
- spectral shape is similar
 ⇒ hints to universal acceleration





Composition: primaries

- primaries produced during lifetime of stars and accelerated at SNe
- p, He, C, O, ..., Fe (dominated by alpha elements)
- fundamental to understanding of origin, acceleration, propagation through ISM







Origin of elements

• high abundances of alpha elements (p, He, C, O, ..., Fe)





Composition: secondaries • secondaries (Li, Be, B, F) produced by collision of primaries with ISM

fundamental to understanding of propagation through ISM





Primaries to secondaries example B/C ratio

- assume CRs are universally accelerated from ISM
- expect similar composition as in stars/ISM (very abundant alpha elements!)
- but observed relative overabundance of light elements (e.g. B)
- B must be produced while travelling through ISM
- less B, shorter travel distance through ISM



Basics: interaction and transport

Three different energy regimes

- low-E CRs (see Padovani+2020) Large cross section with gas Strong losses heating of dense star forming regions
- GeV CRs (see Ferriere 2001) Most of energy (weak losses) **Dynamically relevant** via pressure: similar E-densities: $e_{\rm cr} \sim e_{\rm kin} \sim e_{\rm therm} \sim e_{\rm mag}$
- high-E CRs (see Kotera&Olinto 2011) Low integrated energy Extragalactic important as **observational diagnostics**



KASCADE(SIBYLL Tibet(SIBYLL) KASCADE(SIBYLL

Cross section

- cross section is small and decreases with E Bethe cross section: $\sigma \propto E^{-1}$
- most CRs do not interact directly with gas, no particle-particle collisions
- interaction via magnetic field: - gyration around field lines + (non-)resonant scattering interactions

relatively large cross sections for low E responsible for ionisation in dense gas \Rightarrow temperature floor





Gyro radius

- gyro radius P_{\perp} rg |q|B
- most cases: $r_{\rm g} \ll L_{\rm system}$
- low E: frequent gyration "frequent scattering" \Rightarrow fluid approximation
- high E: $r_g \sim L_{\text{system}}$ need to follow individual trajectories

 $r_{\rm g}~({\rm pc})$



Hanasz, Strong, Girichidis (2021)



CR Transport illustrated **Advection**

- CR gyrate around B
- vertical motions of B \Rightarrow coupled to motions of CRs
- gas (partially) ionized
- ideal MHD, B frozen in gas
- $CR \Leftrightarrow B \Leftrightarrow gas$
- advection with the gas





CR Transport illustratedDiffusion

- perturbed field
- scattering off of B irregularities
- elastic scattering \Rightarrow diffusion
- realistic environment: turbulent 3D
- diffusion relative to the gas
- diffusion mainly along B

perturbed field



CR Transport illustrated Back reaction from CRs onto B

- perturbed field
- elastic scattering ⇒ diffusion depends on total energy ratio
 B / CR resonances
- case 1: strong B field
- little back reaction of CRs onto B



CR Transport illustrated Back reaction from CRs onto B

- perturbed field
- elastic scattering ⇒ diffusion depends on total energy ratio
 B / CR resonances
- case 2: weak B field
- strong back reaction of CRs onto B



Gyro resonance **Streaming instability** (Skilling 1975)

- important: gyro resonances
- depend on CR energy, B properties at different scales, MHD waves
- depend on
 - CR energy
 - spectrum of B-field
 - turbulence spectrum
- macroscopically: bulk of CRs streams with Alfvén speed







CRs as a fluid? Yes, with some differences thermal fluid CR fluid

- direct particle collisions
- fast thermalisation (Maxwellian distribution)
- isotropic distribution function, isotropic pressure



- interaction via B field
- complicated / slow energy transfer (distribution function not universal)
- anisotropic contribution due to coupling with B field orientation



Origin / Acceleration

Fermi acceleration Fermi-II

- Fermi 1949: stochastic scattering of particles between clouds (magn. mirrors)
- particles see head-on and following collisions
- random orientation: average over θ
- second order process
- exponential increase over time



see e.g. Longair: High energy astrophysics, 2011

Spectrum in steady state

- change in energy over time
- diff-loss-eq.
- assume steady state
- reorder



$$e \qquad \qquad \frac{dE}{dt} = \frac{4}{3} \left(\frac{V^2}{cL}\right) E = \alpha E$$

$$\frac{dN}{dt} = D\nabla^2 N + \frac{\partial}{\partial E} [b(E)N(E)] - \frac{N}{\tau_{esc}} + Q(E)$$

$$\frac{dN}{dt} = D\nabla^2 N + \frac{\partial}{\partial E} [b(E)N(E)] - \frac{N}{\tau_{esc}} + Q(E)$$

$$-\frac{d}{dE} [\alpha E N(E)] - \frac{N(E)}{\tau_{esc}} = 0 \qquad \frac{dN(E)}{dE} = -\left(1 + \frac{1}{\alpha \tau_{esc}}\right) \frac{N(E)}{E}$$

$$N(E) = \text{constant} \times E^{-x} \qquad x = 1 + (\alpha \tau_{esc})^{-1}$$

see e.g. Longair: High energy astrophysics, 2011



Problems with Fermi-II acceleration

• gain in energy is too slow: $\left(\frac{V}{c}\right)^2$

- random V are too small (10 km/s)
- distance between clouds too large (~pc)
- collisions/losses usually take over
- effectively very unlikely to be relevant acceleration mechanism



Shocks

- fluid dynamics: discontinuity arises if material moves faster than speed of sound
- Rankine-Hugoniot jump conditions
- distinguish between shocks and contact discontinuity



Shock tube, Mach number $\mathcal{M} = 12.52$

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Shocks



M. Scholer

Shock tube, Mach number $\mathcal{M} = 12.52$

Diffusive shock acceleration (DSA)

- strong shock: $\rho_2/\rho_1 = 4$, $v_2 = (1/4)v_1$ • $E = \beta E_0$: avg. energy of particle after collision
- Lorentz transformation, derive ΔE • P: probab. that particle remains in acc. region
- after k collisions: $N = N_0 P^k$ particles with energies $E = E_0 \beta^k$

β

• eliminate k

$$\frac{N}{N_0} = \left(\frac{E}{E_0}\right)^{\ln P/\ln}$$

 differentiating $dN(E) \propto E^{-1 + (\ln P / \ln \beta)} dE$ Blandford & Ostriker 1978 Bell 1978

$$\cdot \left\langle \frac{\Delta E}{E} \right\rangle = \frac{2}{3} \frac{v}{c}$$

- first-order in v/c(due to coherent and converging motions)
- non-relativistic shock: $\ln P / \ln \beta \approx -1$ $dN(E)/dE \propto E^{-2}$
- or in units of of the shock velocities $dN(E)/dE \propto E^{-s}$ with $s = \frac{v_1/v_2 + 2}{v_1/v_2 - 1} \to 2$



DSA: from paper to simulations Particle in cell simulations (PIC)

- Ingredients:
 - back-reaction onto the fluid
 - real shock is not infinitely sharp
 - magnetic fields (vector field)
- simulations: particle-in-cell (PIC)
- compute Lorentz force and particle trajectories

(Winske & Omidi; Burgess et al., Lipatov 2002; Giacalone et al. 1993,1997,2004-2013; Caprioli & Spitkovsky 2013-2015, ...)

- EM field on grid
- Full-PIC: p and e⁻ as (macro) particles
 - challenge: small gyro radii for e^-
- Hybrid: fluid- e^- , kinetic p





PIC and hybrid simulations



energy increases in every gyration

- $E_{\rm max}$ increases over time

• steep spectrum: ordinate is $p^4 f(p)$ and f(p) is flat, so $f(p) \propto p^{-4}$



 \bullet amplification



Caprioli & Spitkovsky 2014, Pais et al. 2018





Check simulations vs. observations (SN 1006)

obliquitiy independent acceleration



obliquitiy dependent acceleration

radio $(1.4 \,\mathrm{GHz})$ X-ray $(0.8 - 2.0 \,\mathrm{keV})$ γ -ray ($E > 500 \,\mathrm{GeV}$) $\times 10^{-15}$ $\times 10^{-2}$ $\times 10^{-4}$ 2.5 \sim u 2.0 1.5 arcmin 3 2 simulation arcmin_3 1.02 cm ∾ 0.5 Jycm $\boldsymbol{\Omega}$ ph. ph. 0.0 $\times 10^{-4}$ $\times 10^{-2}$ $\times 10^{-15}$ 2.5.u 2.0 .u 1.5 arcmir observation ar arcmin_3 1.0 2 ----S cm ∾ 0.5 Jycm ∞ ph. ph. 0.0 $\times 10^{-15}$ $\times 10^{-2}$ $\times 10^{-4}$ $arcmin^{-2}$ obs. arcmin radial profile nin^{-2} obs sim. \mathbf{C} Jy arcn \mathbf{v} cm \mathbf{C} $^{-3}$ cm^{-} ph. ph. 0 10 152010 152010 0 50 50 5radius (arcmin) radius (arcmin) radius (arcmin)







- low-energies < GeV: all kinds of shocks
- up to ~ 10^{15} GeV: SNe
- global energetic arguments: 10% of SN energy into CRs ($\sim 10^{50}$ erg)



Astrophysical accelerators II

- beyond $\sim 10^{15} \,\text{GeV}$: SNe not powerful enough
- follow global arguments of confinement, r_{σ}
- "Hillas plot" (Hillas 1984, originally by Fermi) "exclusion plot"
- CRs above the line are confined (how strong does B need to be)
- candidate sources
 - AGN (relativistic conditions)
 - GRB (multiple shocks)
 - neutron stars (strong B-fields)

'g

 $\gamma \mid B$

CR-MHD fluid theory

Energy dependent losses

 Coulomb and ionisation losses (low-E CRs)

- hadronic losses via $CR + p \rightarrow \pi^0 \rightarrow 2\gamma$ above threshold for π^0 (0.78 GeV)
- GeV CRs cool least efficient, GeV peak with most energy
- cooling time Myr Gyr

Adiabatic gains/losses Shift of the spectrum

CR

 \vec{B}

- in log-log space $y \equiv \ln(f)$ $x \equiv \ln(p)$
- advection in ln p $\frac{\partial y}{\partial t} = -\frac{1}{3} \left(\boldsymbol{\nabla} \cdot \boldsymbol{u} \right) \frac{\partial y}{\partial x}$
- keeps the spectral shape!! \bullet

Fokker-Planck equation for CRs

- investigate particle distribution (bulk of CRs)
- time evolution: Fokker-Planck equation for CRs (Skilling 1971, 1975a,b,c)

- CRs couple efficiently
 ⇒ advection with the gas
- scattering along the magnetic field:
 ⇒ anisotropic diffusion along B
- coupled fluid (gas+CRs):
 ⇒ adiabatic expansion/compression
- losses (Coulomb, Ionisation, Hadronic)
- sources
 (e.g. shock injection)

Grey approximation

• total energy

$$e = \int_{0}^{\infty} 4\pi p^{2} T(p) f(p) dp$$

- dominated by GeV protons
- effective cooling
- effective diffusive transport (at median energy): $D_{xx} \sim 10^{28-29} \,\mathrm{cm}^2 \,\mathrm{s}^{-1}$

•
$$P_{\rm CR} = (\gamma_{\rm CR} - 1)e_{\rm CR}, \ \gamma_{\rm CR} = 4/3$$

assumes universal spectrum, not just steady state

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Combined CR-MHD equations (2-fluid) $\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0$ Piernik: Hanasz+2003 $\frac{\partial \rho \mathbf{v}}{\partial t} + \nabla \cdot \left(\rho \mathbf{v} \mathbf{v} - \frac{\mathbf{B} \mathbf{B}}{4\pi} \right) + \nabla p_{\text{tot}} = \rho \mathbf{g}$ $\frac{\partial e_{\text{tot}}}{\partial t} + \nabla \cdot \left[\left(e_{\text{tot}} + p_{\text{tot}} \right) \mathbf{v} - \frac{\mathbf{B}(\mathbf{B} \cdot \mathbf{v})}{4\pi} \right] = \rho \mathbf{v} \cdot \mathbf{g} - \nabla F_{\text{st}} + \nabla \cdot \left(\mathbf{K} \cdot \nabla e_{\text{cr}} \right) + Q_{\text{cr}}$ $\frac{\partial \mathbf{B}}{\partial t} - \nabla \times (\mathbf{v} \times \mathbf{B}) = 0$

advection $\frac{\partial e_{\rm cr}}{\partial t} + \nabla \cdot (e_{\rm cr} \mathbf{v}) = -p_{\rm cr} \nabla \cdot \mathbf{v}$

 $p_{\text{tot}} = p_{\text{therm}} + p_{\text{mag}} + p_{\text{cr}}$

FLASH: Girichidis+2014,2016a Arepo: Pfrommer+2017, Pakmor+2016, 2017 RAMSES: Dubois+2016, Commercon+2019

 $-\nabla F_{\rm st} - \Lambda_{\rm cr}$

 $+ \nabla \cdot (\mathbf{K} \cdot \nabla e_{\mathrm{cr}})$

adiabatic

streaming

diffusion

sources/sinks

 $+Q_{\rm cr}$

Applications

CR facts to remember - CR diffuse fast

- CR cool less efficiently than gas

- diffusion is energy dependent $D(E) \propto E^{0.3-0.5}$

Motivation for CRs in galaxies strong outflows in all phases

Thermal energy cools too fast classical stellar feback too weak (SN, winds, radiation)

different setups

Hanasz+ 2003, Girichidis+16,18, Simpson+ 2016, Dubois+ 2016, Farber+ 2018, Armillotta+18,21, Commercon+2019, Butsky+2020, Rathjen+ 2021,2022 Booth+ 2013, Ruszkowski+ 2017a, Pakmor+2016, Pfrommer+2017, Jacob+ 2018, Dashyan+ 2020, Semenov+ 2021, Girichidis+ 2022/23, Thomas+2022,2023, Nunez-Castineyra+2022, Peschken+ 2023

isolated galaxies

cosmological galaxies

Jubelgas+ 2008, Salem+ 2014, Chan+ 2018, Hopkins+ 2020/2021, Buck+2020, Ji+2021, Farcy+ 2022, Böss+ 2023, Rodriguez Montero+ 2023

Stratified box simulations

based on SILCC setup (Walch+2015, Girichidis+2016) also Simpson+2016, Farber+2018, Armillotta+ 2021

- "precomputed star formation and SNe"
 - therm: 10^{51} erg, CR: 10^{50} erg but see also Pais+ 2018 (only 5% of CRs)
- SN models:
 - 20% type la
 - 80% type II (48% clustered, 32% runway)
- 4 models
 - no CRs
 - medium diff $\left(K_{\parallel} = 3 \times 10^{28} \frac{\text{cm}^2}{\text{s}} \right)$
 - small diff $\left(\mathsf{K}_{\parallel} = 1 \times 10^{28} \frac{\mathrm{cm}^2}{\mathrm{s}} \right)$
 - all SNe exploding in dense gas

CRs are able to drive and sustain outflows with mass loading of order unity

Girichidis et al. 2016a, 2018a

ISM evolution without CRs

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Force balance

- CR pressure gradient overcompensates gravitational attraction
- force-free motions of gas into halo
- slowly lift the gas, not shooting

Illustration of different diffusion speeds

MHD, anisotropic diffusion, isothermal Hanasz et al. (2013)

- isolated galaxy
- isothermal gas
- only CR injection with SNe
- CRs alone are able to drive winds

Isotropic vs. anisotropic diffusion Pakmor et al. (2016) isotropic diffusion

- isolated cooling halo
- simplified model for interstellar medium
- compare
 - isotropic diffusion
- anisotropic diffusion along field lines
- impact on B-field strength enhance Parker loops

Simultions on small scales

- distribution of CR pressure

В

New improvements I CR transport / coupling

More accurate coupling CR ⇔ gas+B

CRs: back-reaction onto B-field, gyro-resonances
 ⇒ no simple diffusion

 \Rightarrow complex transport (E-transfer $E_{cr} \leftrightarrow E_{mag}$)

- Streaming instability (Kulsrud+1975)
- bulk of CRs streams with Alfvén speed, Alfvén heating
- equate growth and damping (Wiener+ 2013)

depend on effective turbulence model, effective B-field $\delta B/B$, fixed B spectrum

- new self-consistent PIC models (Shalaby et al. 2021/2023)
- \Rightarrow many unknowns concerning
 - transport speeds

 $\Gamma_{\rm growth} = \Gamma_{\rm NLLD} + \Gamma_{\rm in}$

 $\Rightarrow H = -\mathbf{v}_{A} \cdot \nabla P_{cr}$

- energy exchange

Thomas, CP, Enßlin (2020)

sketch: Jacob

More accurate coupling CR ⇔ gas+B

- new approach in fluid approximation
- Thomas+ 2019,2021,2022:

CR energy [erg]

Thomas et al. 2022

New improvements II Spectral MHD models

Extension to spectral code just total energy is not enough

Multibin CR solver basic idea

- full spectrum in every cell: low $N_{\rm spec}$
- solve Fokker-Planck equation
- large dynamic range: use piecewise powerlaws two degrees of freedom per bin
- couple to MHD via two moments, n and e

$$n_i = \int_{p_{i-1/2}}^{p_{i+1/2}} 4\pi p^2 f(p) \, dp \qquad e_i = \int_{p_{i-1/2}}^{p_{i+1/2}} 4\pi p^2 \, dr p^2$$

Miniati 2001, Jones&Kand 2005, Yang et al. 2017, Girichidis et al. 2020,2022, Ogrodnik et al. 2021

Application to isolated c temperature and CR content

- isolated halo,
 rotating gas cloud
- Arepo
 (Springel '10, Weinberger+ '19)
- CRs (Pakmor+'16, Pfrommer+'17)
- spectral CRs (Girichidis+ '20)
- CRs: 10% of SN energy
- high-E CRs escape faster
- larger region of cold CGM impact on gal. fountain
- larger region with CR dominated pressure

Girichidis et al. 2022

 $\int_{\partial D}^{p_{\text{max}}} \frac{\partial e_{\text{cr}}}{\partial p} D(p) dp$ $e_{\rm cr}$

Advection vs. diffusion

- dwarfs: dominated by advection
- Milky Way dominated by diffusion

Advection vs. diffusion in spectra (dwarf)

Advection vs. diffusion in spectra (MW)

Connection to gamma rays

• spectral model: better fit to spectra and SFR- γ luminosity

Werhahn et al. 2023

Gamma rays II

Steady state vs. full spectrum (Werhahn+ 2021abc, 2023) \bullet

$$S_E(10 \,\text{GeV}) [\text{erg s}^{-1} \,\text{cm}^{-2}]$$

10⁻⁷ 10⁻⁶ 10⁻⁵

$$E^2 S_E(100 \text{ GeV}) [\text{erg s}^{-1} \text{ cm}^{-2}]$$

10⁻⁸ 10⁻⁷ 10⁻⁶ 10⁻⁵

Take home points

- CRs are relativistic particles
- most energy in protons with GeV energies
- accelerated mostly in SN remnants
- CR energy comparable to other energies in ISM
 - CR drive outflows from disk (comparable to star formation rate)
- big questions in CR physics
 - transport details (diffusion/streaming, transport speed)
 - details of the spectrum (high-E $\Leftrightarrow \gamma$ -rays, low-E \Leftrightarrow ionisation rate)