

# **Microphysical processes**

Simon Glover

# Astrochemistry

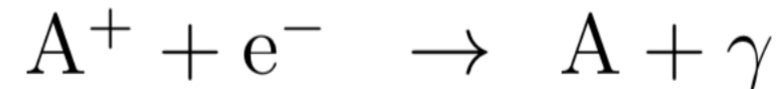
- In the last lecture, we discussed collisions that change the internal energy of the target atom/molecule, but leave it chemically unaltered
- However, collisions can also lead to **chemical** changes
- Study of this in an astrophysical context is known as **astrochemistry**
- As in the last lecture, we will look at this with a simple semi-classical approach

- Several important differences between terrestrial chemistry and astrochemistry:
  - Very low densities — two-body processes dominate
  - Often, very low temperatures (e.g. in GMCs,  $T \sim 20$  K); hence, endothermic reactions suppressed
  - Much more H, He than any other element

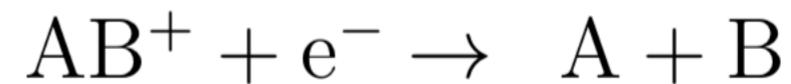
# Reaction terminology

- Gain or loss of electrons:

Radiative recombination



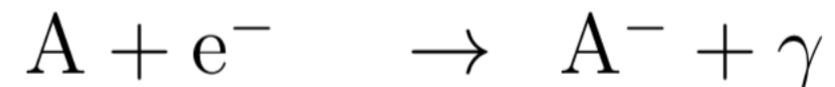
Dissociative recombination



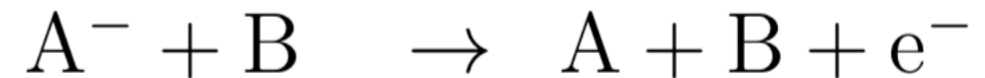
Collisional ionization



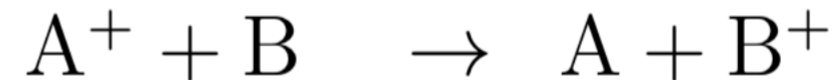
Electron attachment



Electron detachment

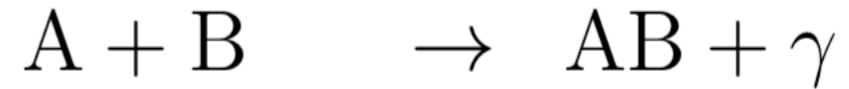


Charge transfer

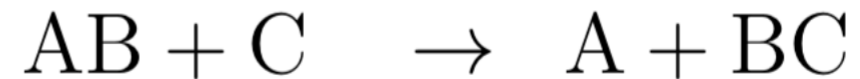


- Gain or loss of atoms:

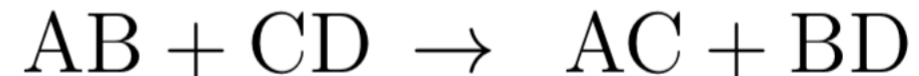
Radiative association



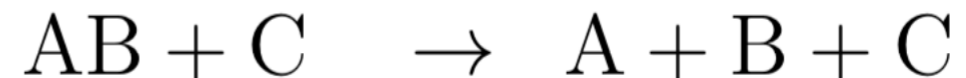
Atom transfer



Atom exchange

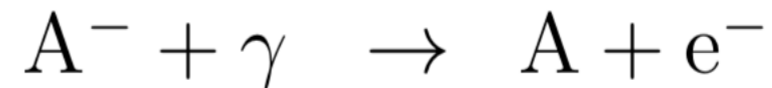


Collisional dissociation

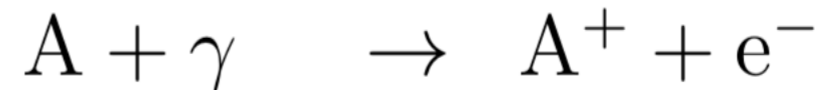


- Photochemistry:

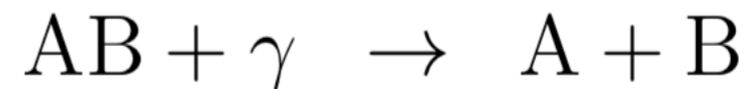
Photodetachment



Photoionization



Photodissociation



- How do we determine rate of a chemical reaction?
- Start by considering simple two-body gas-phase reactions
- For a reaction between reactants A, B, the reaction rate per unit volume can be written as:

$$R_{AB} = k_{\text{react}} n_A n_B$$

Here,  $n_A$ ,  $n_B$  are the number densities of the reactants and  $k_{\text{react}}$  is the **reaction rate coefficient**

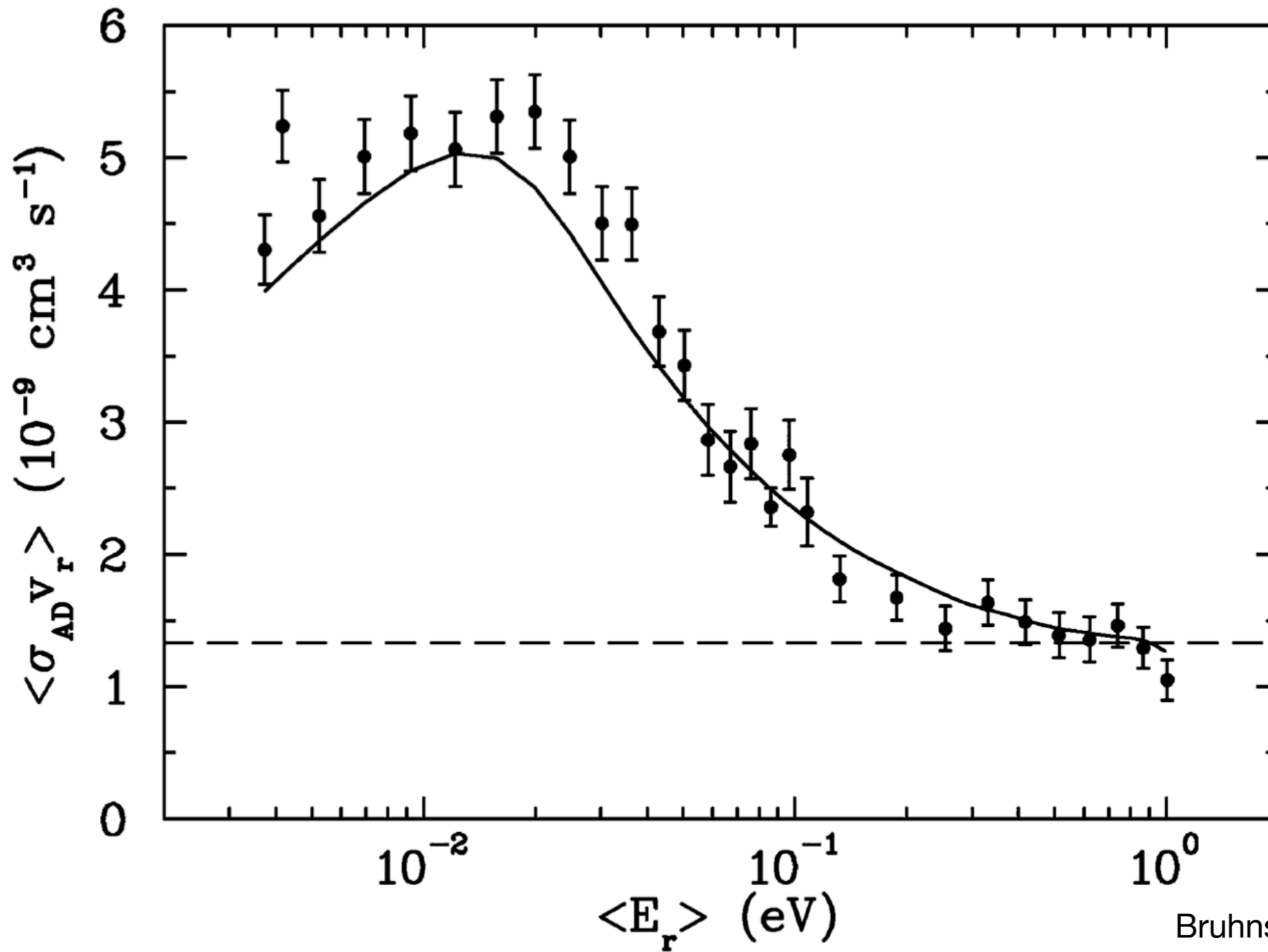
- Can write reaction rate coefficient in terms of a velocity-dependent cross-section:

$$k_{\text{react}} = \int_0^{\infty} \sigma_{\text{AB,react}}(v) v f(v) dv$$

- Usually a good approximation to take velocity distribution function  $f(v)$  to be Maxwellian
- To determine  $k_{\text{react}}$ , hence need to calculate or measure the reaction cross-section



# Associative detachment of H<sup>-</sup> with H



Bruhns et al., 2010

Datapoints — experimental measurement  
Solid, dashed lines — theoretical models

- Semi-classical approach: assume we can write cross-section as product of **collision cross-section** and **reaction probability**

$$\sigma_{AB,react} = p_{react} \sigma_{AB,coll}$$

- Collision cross-section depends on long range interaction between particles, can often be treated classically
- Reaction probability depends on short-range behaviour; accurate treatment requires QM (but can often be estimated)

# The hard sphere approximation

- Simplest possible approximation: assume no long-range interaction between particles
- Cross-section is then simply:

$$\sigma_{AB,\text{coll}} = \pi \left( \frac{d_A + d_B}{2} \right)^2$$

where  $d_A$ ,  $d_B$  are sizes of the reactants

- For atoms, sizes are  $\sim 10^{-8}$  cm (to within factor of 2), so typical cross-sections are  $\sim$  few times  $10^{-16}$  cm<sup>2</sup>

- Since cross-section is independent of velocity:

$$k_{\text{react}} = \sigma_{\text{AB,coll}} p_{\text{react}} \int_0^{\infty} v f(v) dv = \sigma_{\text{AB,coll}} p_{\text{react}} \langle v_r \rangle$$

- Mean relative velocity:

$$\langle v_r \rangle = \left( \frac{8kT}{\pi\mu} \right)^{1/2}$$

where  $\mu$  is the reduced mass

- Evaluating this yields a value  $\sim 10^{-11} p_{\text{react}} (T/\mu)^{1/2} \text{ cm}^3 \text{ s}^{-1}$ , with  $\mu$  in atomic mass units
- Key points to note:
  - Rate increases with temperature
  - Inverse dependence on reduced mass
- Reasonable approximation for non-polar neutral reactants

# Ion-neutral collisions

- Electric field of ion induces dipole moment in otherwise non-polar atom or molecule
- Corresponding electrostatic interaction scales as  $F \sim R^{-5}$ , so potential describing the interaction scales as  $V \sim R^{-4}$
- Lagrangian for system:

$$L = \frac{1}{2}\mu\dot{R}^2 + \frac{1}{2}\mu R^2\dot{\phi}^2 - V(R)$$

- Corresponding equations of motion:

$$l \equiv \mu R^2 \dot{\phi} = \text{constant}.$$

$$\mu \ddot{R} = \frac{l^2}{\mu R^3} - \frac{\partial V}{\partial R}.$$

- Can write second of these in terms of an effective potential:

$$\mu \ddot{R} = -\frac{\partial V_{\text{eff}}}{\partial R}.$$

$$V_{\text{eff}}(R) = V(R) + \frac{l^2}{2\mu R^2}.$$

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Angular momentum  
conservation

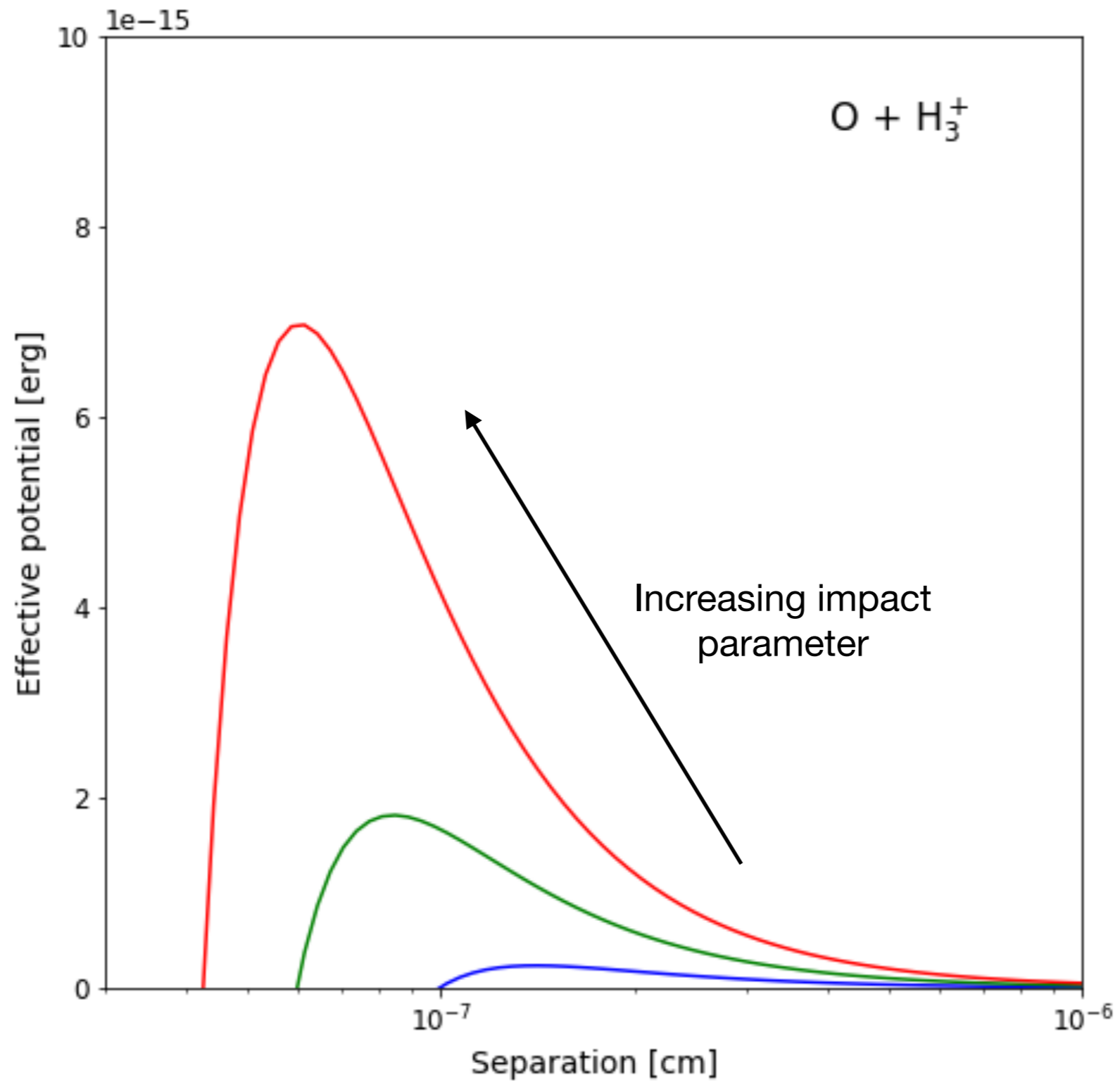
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- $V_{\text{eff}}$  small at large distances, negative (attractive) at small distances
- Peak at intermediate distances owing to non-zero angular momentum — the **centrifugal barrier**
- Height of barrier depends on angular momentum, hence on initial kinetic energy and impact parameter

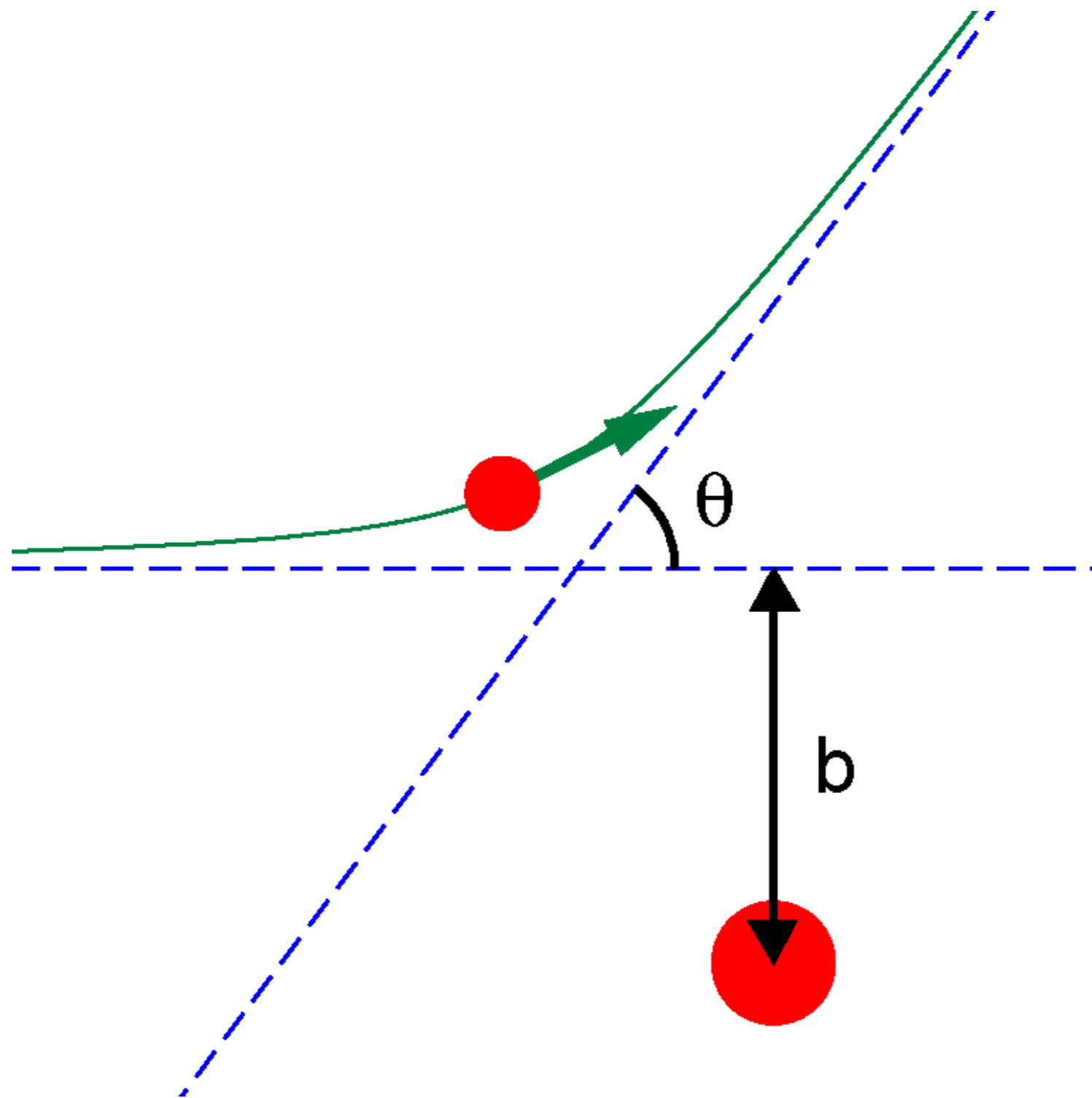


Image credit: Wikipedia (User:Tonatsu)

- For a reaction to be possible, reactant must be able to make it over barrier
- At given initial energy  $E_i$ , implies reactions only possible for collisions with impact parameter less than  $b_{\max}$ :

$$b_{\max} = \left( \frac{2\alpha q^2}{E_i} \right)^{1/4} .$$

- Here,  $\alpha$  is the **polarizability** — measure of how easy it is to polarize neutral reactant
- For given  $E_i$ , height of barrier exceeds  $E_i$  when  $b > b_{\max}$

- Note: in the general case, expression for  $b_{\max}$  depends on form of interaction potential — this form is specifically for induced dipole interactions
- Effective cross-section for reaction:

$$\sigma = \pi b_{\max}^2 = \pi \left( \frac{2\alpha q^2}{E_i} \right)^{1/2} .$$

- Note that  $b_{\max}$  can be much larger than actual physical size of target reactant
- Note also inverse dependence on energy!

- In terms of velocity, this is:

$$\sigma = \pi b_{\max}^2 = \frac{2\pi q}{v} \left( \frac{\alpha}{\mu} \right)^{1/2} .$$

- Hence, reaction rate coefficient is:

$$\begin{aligned} k_{\text{react}} &= 2\pi q \left( \frac{\alpha}{\mu} \right)^{1/2} \int_0^{\infty} f(v) p_{\text{react}} dv, \\ &\simeq 2\pi q \left( \frac{\alpha}{\mu} \right)^{1/2} , \end{aligned}$$

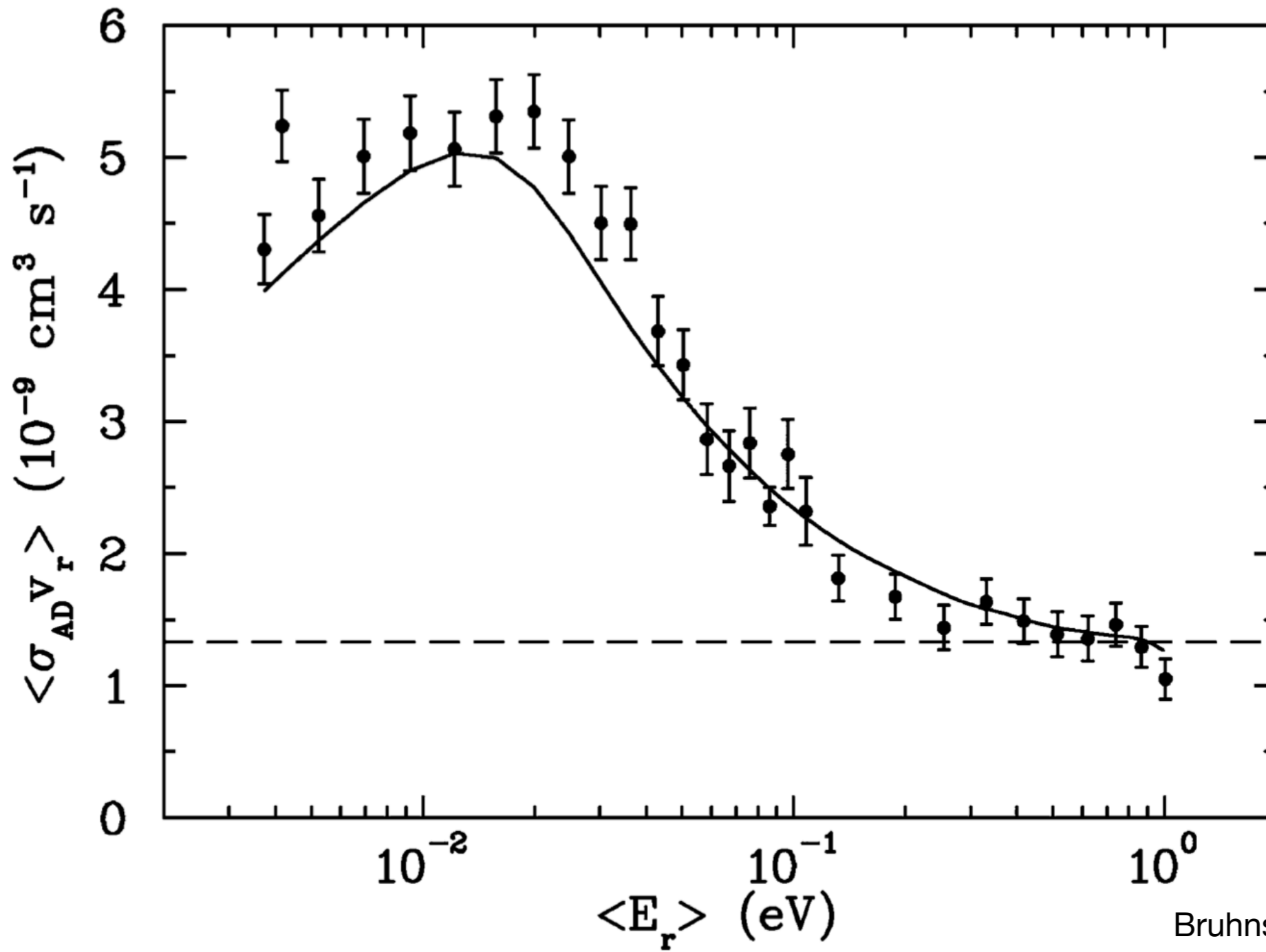
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where second line assumes  $p_{\text{react}} \sim 1$

- This is the **Langevin rate** for the reaction

- Advantages of Langevin approach:
  - Little information necessary:  $q$ ,  $\alpha$ , reactant masses
  - Calculation trivial
  - Easy to calculate rates for large numbers of reactions
  
- Disadvantages:
  - Neglects short-range behaviour, assumes that all reactants that come close enough react
  - Limited accuracy — usually OK to within factor of 10

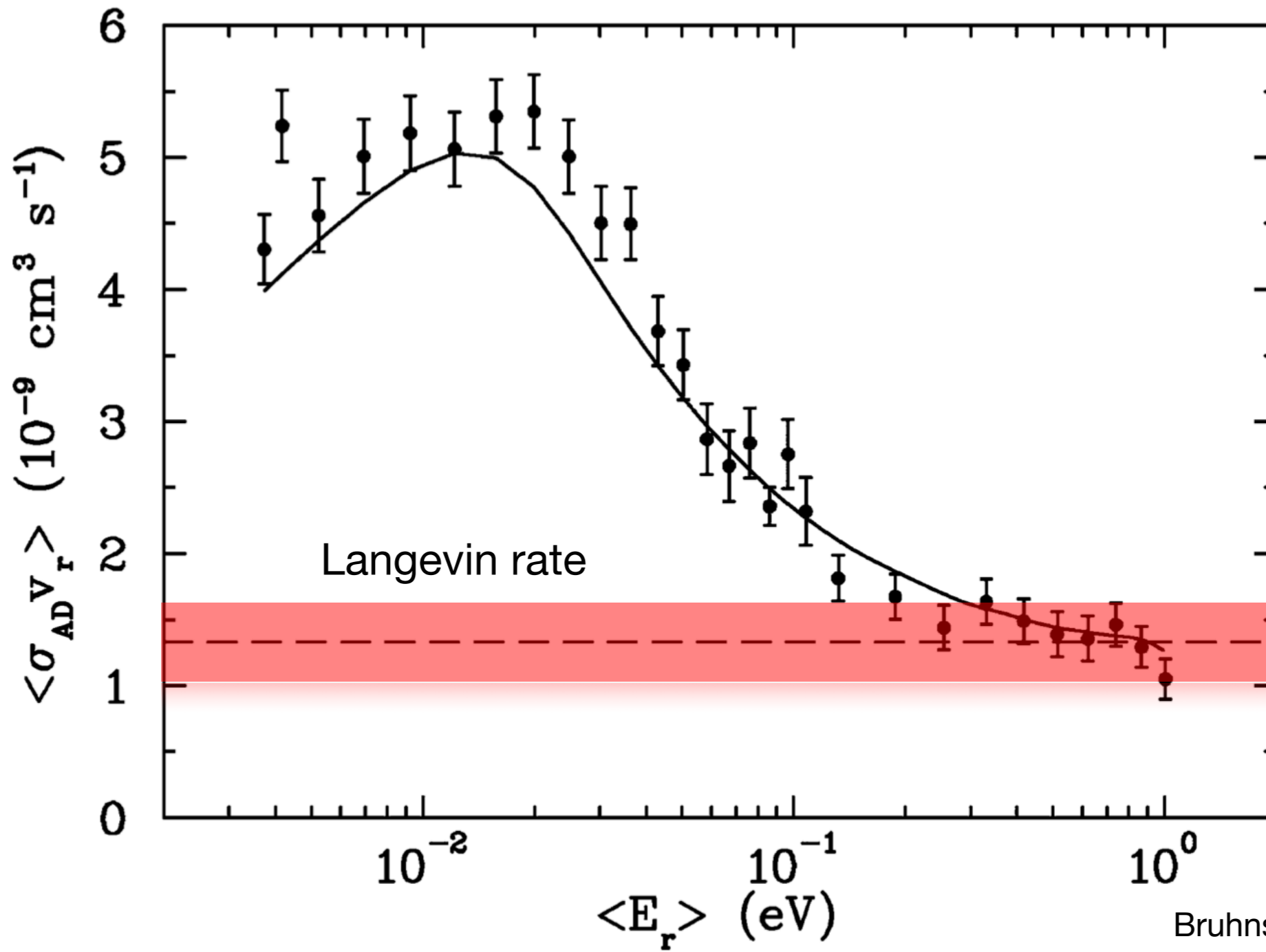
# Associative detachment of H<sup>-</sup> with H



Bruhns et al., 2010



# Associative detachment of H<sup>-</sup> with H



Bruhns et al., 2010

- Procedure outlined here only applies to collisions between ions and non-polar neutrals
- Similar **capture theory** approaches can be developed for other types of long-range interaction
  - For a good review, see Tsikritea et al., 2022, J. Chem. Phys., 157, 060901
- In general, the stronger the long-range interaction, the stronger the anti-correlation of  $k_{\text{react}}$  and  $T$ 
  - No interaction:  $k_{\text{react}} \sim T^{1/2}$
  - Ion-induced dipole:  $k_{\text{react}} \sim \text{constant}$
  - Electrostatic (positive ion - electron):  $k_{\text{react}} \sim T^{-1/2}$

# Reaction probabilities

- Classical approach outlined previously assumes that all reactants react once they come close enough
- When is this a poor approximation?
  - Multiple reaction outcomes
  - Energy barrier
  - Radiative process

- Capture theory model tells us how often reactants come close enough to react
- BUT: same reactants can have more than one possible reaction, producing different products
- Capture theory tells us the rate of the **sum** of these different reactions — individual reactions have  $p_{\text{react}} < 1$
- Example: dissociative recombination of  $\text{H}_3\text{O}^+$

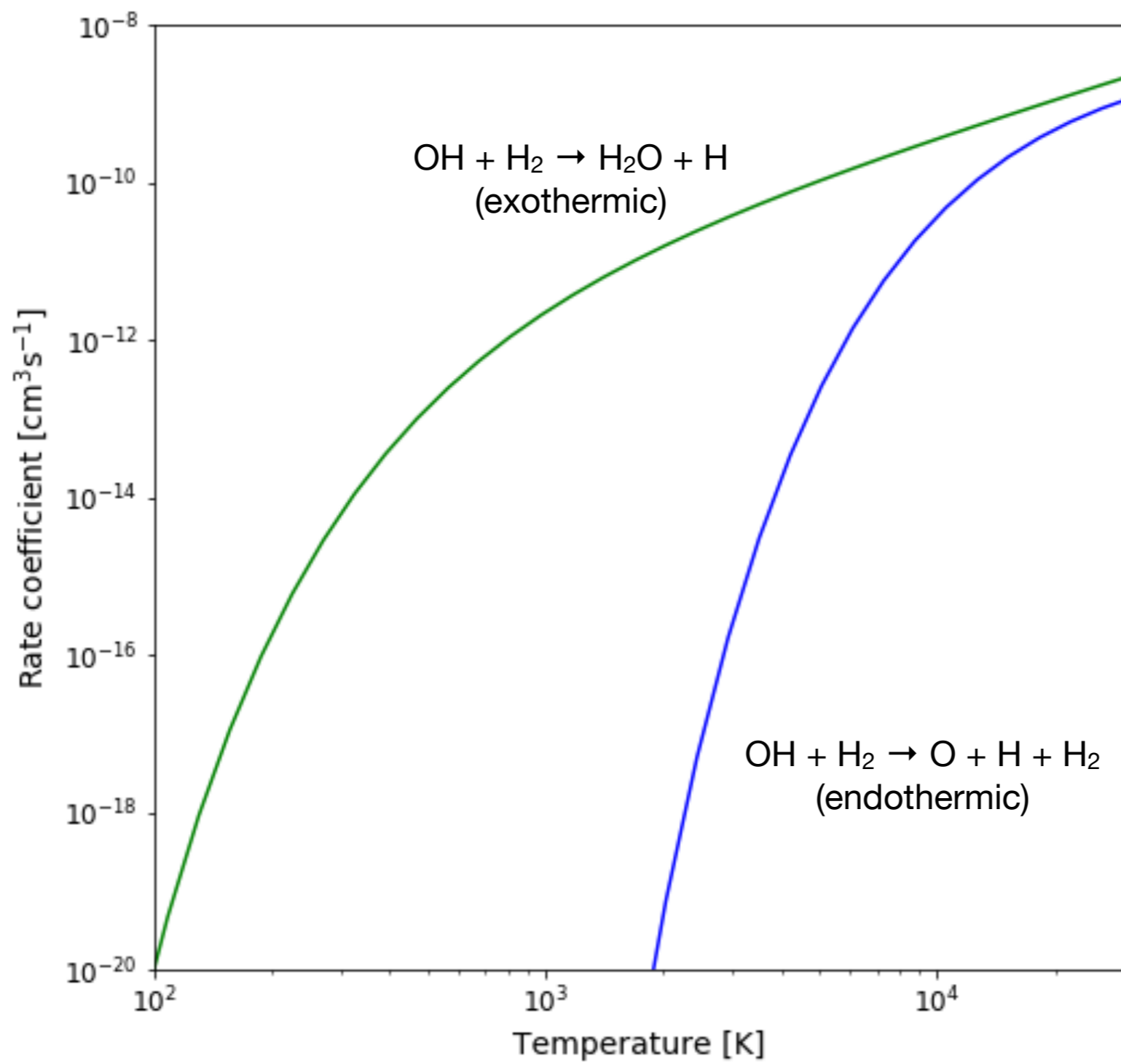
$$N_a(\text{H}_2\text{O} + \text{H}) = 0.33 \pm 0.08$$

$$N_b(\text{OH} + \text{H}_2) = 0.18 \pm 0.07$$

$$N_c(\text{OH} + \text{H} + \text{H}) = 0.48 \pm 0.08$$

$$N_d(\text{O} + \text{H}_2 + \text{H}) = 0.01 \pm 0.04$$

- If reaction is endothermic, need available kinetic energy to exceed required change in binding energy
- Same also true for exothermic reactions if they have a non-negligible **activation energy**
- Collisions with insufficient energy have  $p_{\text{react}} = 0$
- If height of energy barrier is  $\Delta E$ , this introduces a factor  $\exp(-\Delta E/kT)$  into expression for rate coefficient
- Note: at typical molecular cloud temperatures, these terms can be very small



- Reactions that involve photon emission (e.g. radiative association, radiative recombination) have small  $p_{\text{react}}$
- Probability of photon emission during collision:

$$p_{\text{react}} \simeq A_{\text{fb}} t_{\text{coll}}$$

$A_{\text{fb}}$  = Einstein coefficient for transition from unbound to bound state;  $t_{\text{coll}}$  = collision time

- Typical collision times very short:  $t_{\text{coll}} \sim 10^{-13}$  s

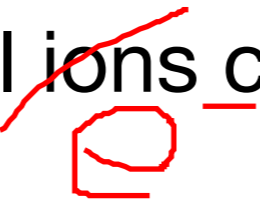
- Value of  $A_{fb}$  depends on nature of transition, but even for dipole-allowed transitions, rarely exceeds  $10^9 \text{ s}^{-1}$
- Hence: even for allowed transitions,  $p_{\text{react}} \sim 10^{-4}$  or less
- Most collisions do not result in photon emission
- $p_{\text{react}}$  even smaller (and reaction slower) if transition is forbidden (e.g. if end product has no dipole moment)

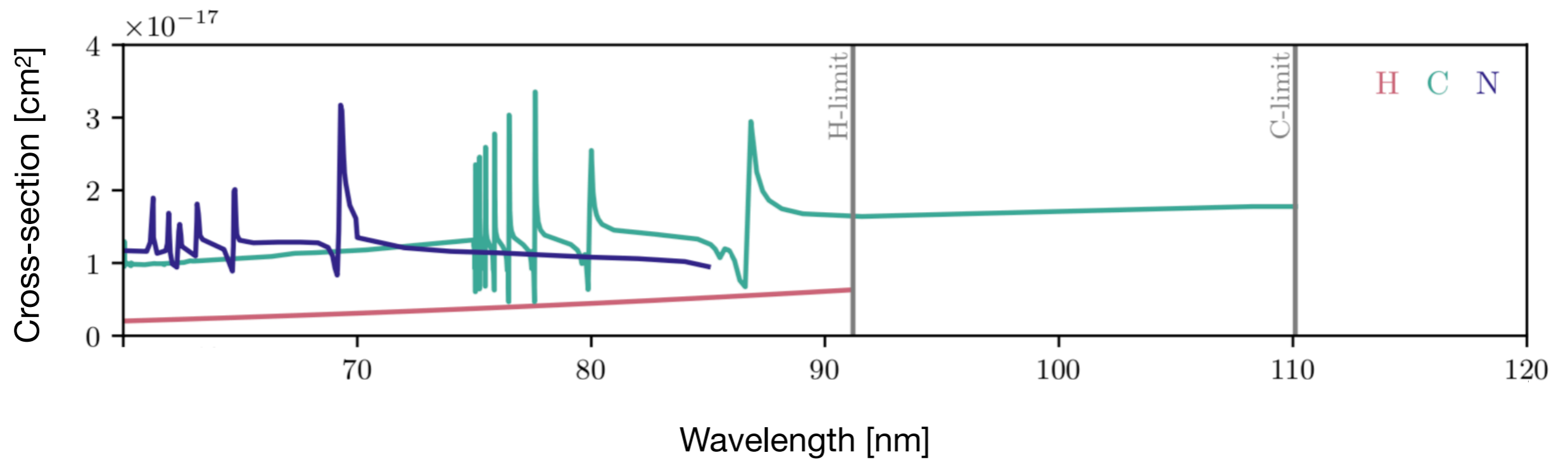


# Photochemistry

- General term for chemical reactions induced by absorption of light
- At ISM densities, this typically implies interaction between single reactant and a photon — interactions involving multiple reactants and/or photons very rare
- Simplest cases: **photoionization** and **photodetachment**
- Note: latter is basically the same process, but involving a negative ion rather than neutral atom or positive ion

- Simplest photoionisation mechanism: absorption of photon leads to electron transition from bound state to continuum
- Minimum energy = **ionisation potential** of atom/molecule
- Any photons with energy above this value can cause photoionisation — smooth, continuous cross-section
- Example: photoionisation of H — the **only** case where we can compute the cross-section analytically!

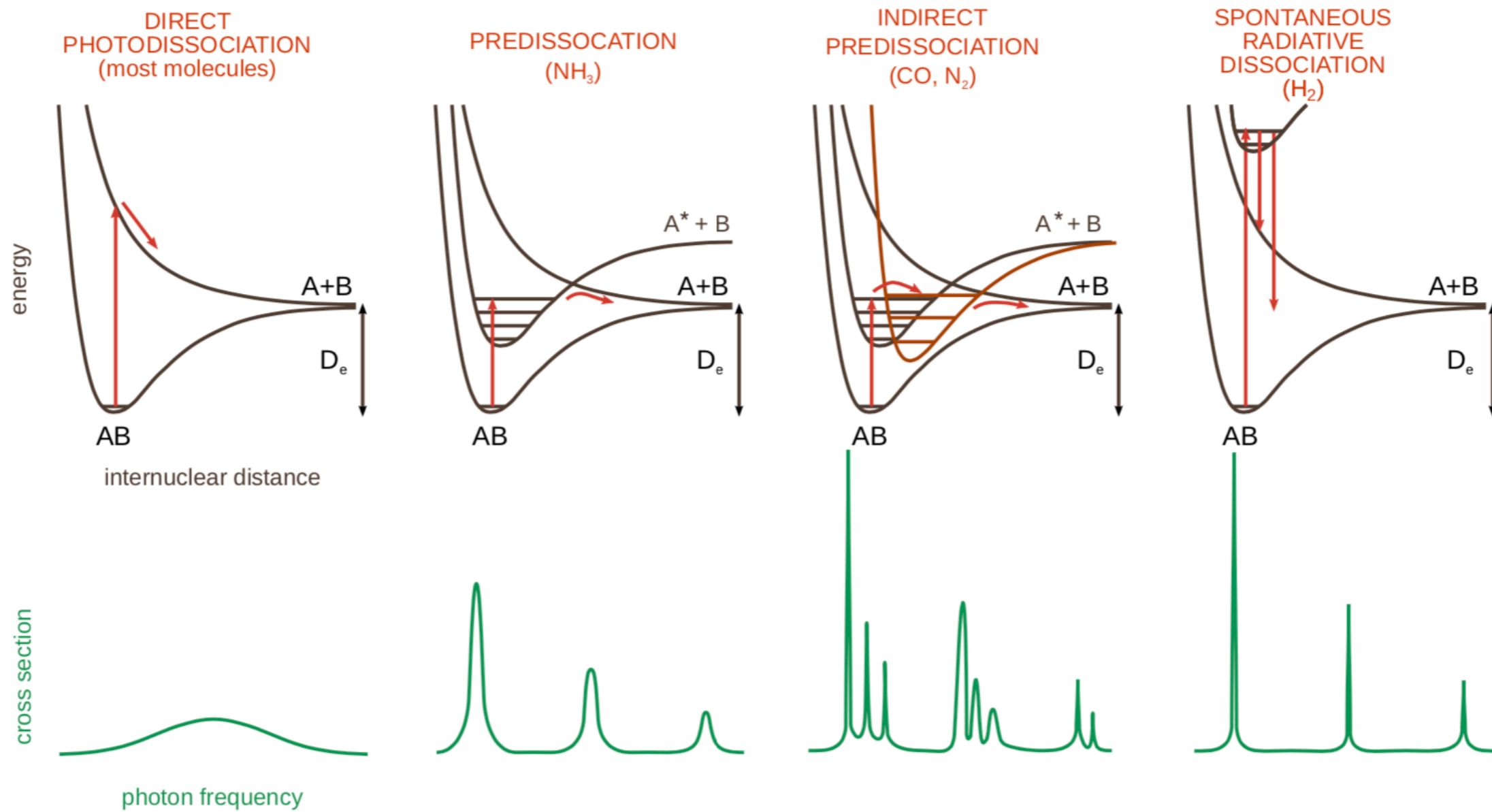
- For any cases other than atomic H (or a hydrogenic ion), presence of additional ~~ions~~ complicates matters  

- Can get resonances in photoionisation cross-section
- These occur when photon energy is sufficient to expel one electron and simultaneously excite one or more additional electrons
- Close to resonances, cross-section much larger — can make significant contribution to total rate



Heays et al (2017)

- Important distinction in ISM between reactants with first ionisation potentials above, below 13.6 eV
- For  $I > 13.6$  eV, reactants can only be ionised by photons that can also ionise H
  - Since lots of H in ISM, photoionisation of these species typically only important inside HII regions
  - Important examples: He, N, O
- For  $I < 13.6$  eV, reactants can be ionised by FUV and EUV photons that cannot ionise H
  - Typically found in singly ionised form in diffuse ISM
  - Important examples: C, S, Si

- **Photodissociation:** photon-induced transition involving break-up of molecule **without** loss of an electron
- Several different possible mechanisms
  - Direct photodissociation
  - Predissociation
  - Indirect predissociation
  - Spontaneous radiative dissociation



Heays et al (2017)

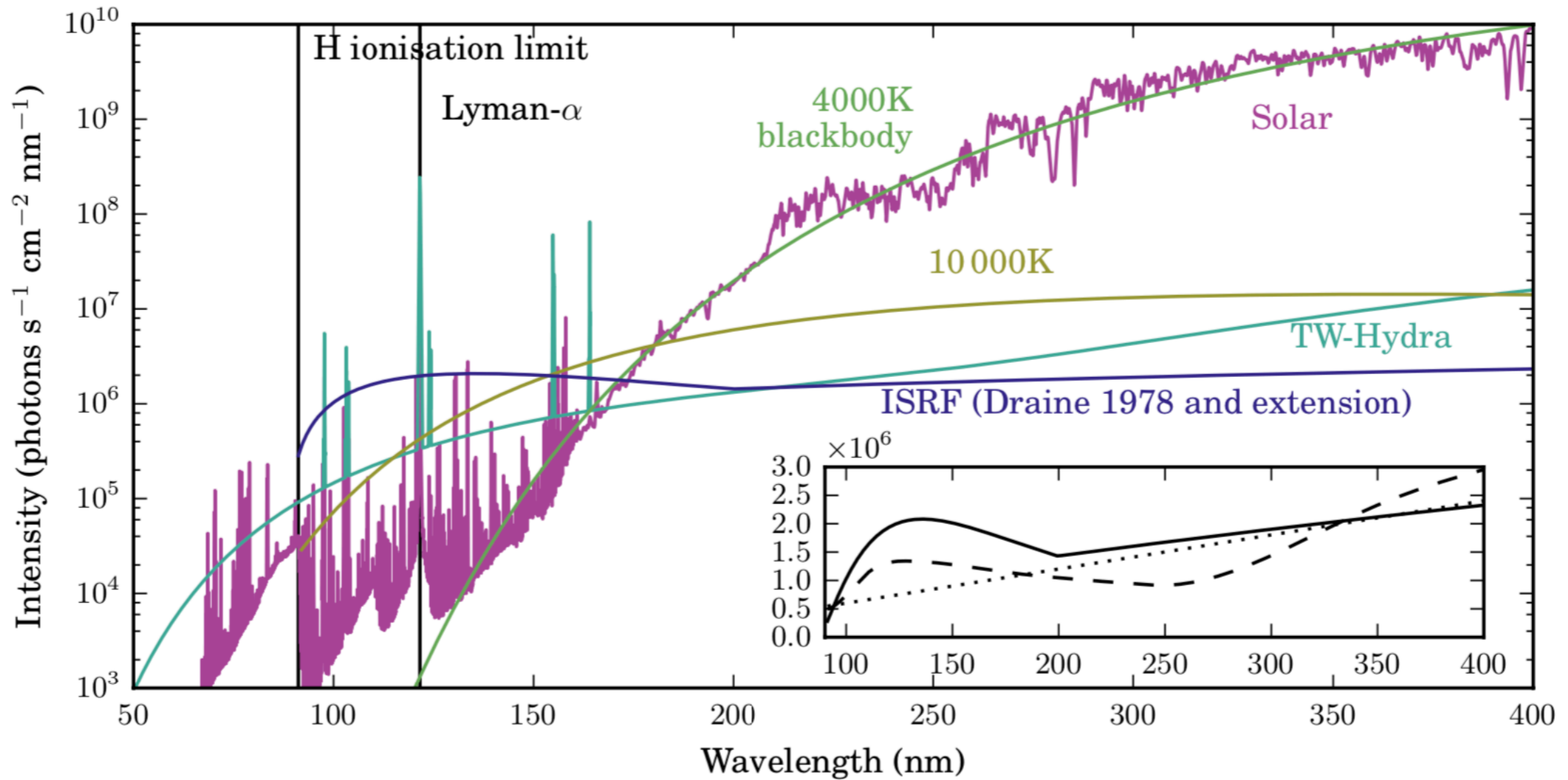
- Direct photodissociation:
  - Transition from bound to unbound electronic state
  - Broad, continuous cross-section
  - Dissociation probability is  $\sim 100\%$
  - Energy required must exceed molecular binding energy, but often not by much
  - Only important if transition allowed (i.e. doesn't violate any selection rules)



- Predissociation:
  - Radiative transition to excited bound state
  - Non-radiative transition to repulsive electronic state
  - Line-driven (initial bound-bound transition), but short lifetime of excited state implies broad lines
- Indirect predissociation:
  - Similar to predissociation, but involves intermediate transitions to one or more excited bound states
- In both cases, dissociation probability  $< 100\%$  (non-radiative transition competes with radiative decay to ground state)

- Spontaneous radiative dissociation:
  - Radiative transition to excited bound state
  - Radiative decay to ground state vibrational continuum
  - Line-driven; excited state long-lived, so lines narrow
  - Dissociation probability can be small, since significant probability that molecule decays back to bound state
  - Most important example: **molecular hydrogen** (H<sub>2</sub>)

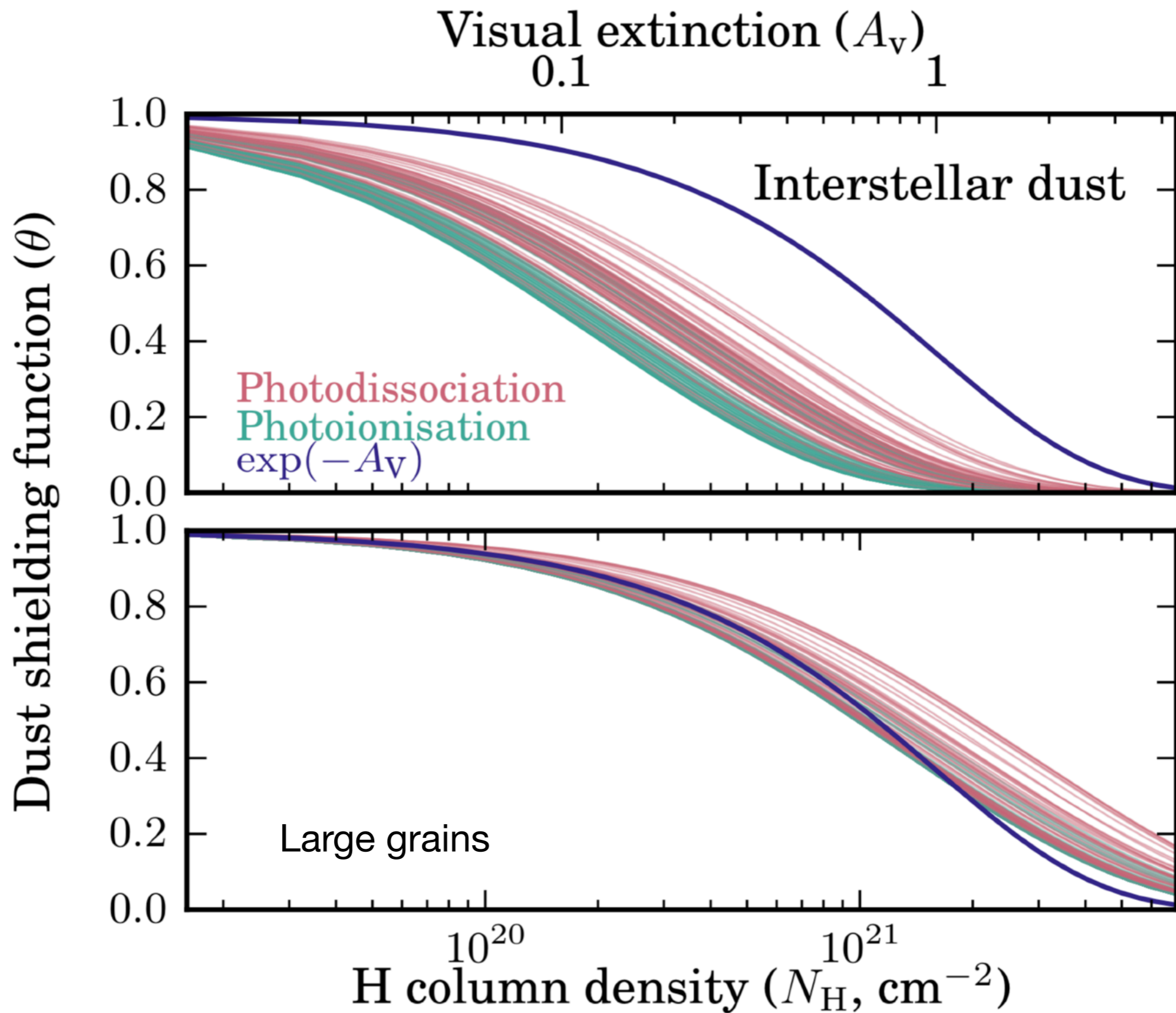
- What photon energies relevant?
  - Photoionisation, neutral atom/molecule:  $\sim 10$  eV
  - Photodissociation: typically  $> 4$  eV ( $\sim 3000$  Angstrom)
  - Very weakly bound species (e.g.  $\text{H}^-$ ,  $\text{O}_3$ ) need less
  - Photochemistry driven by **ultraviolet** radiation field



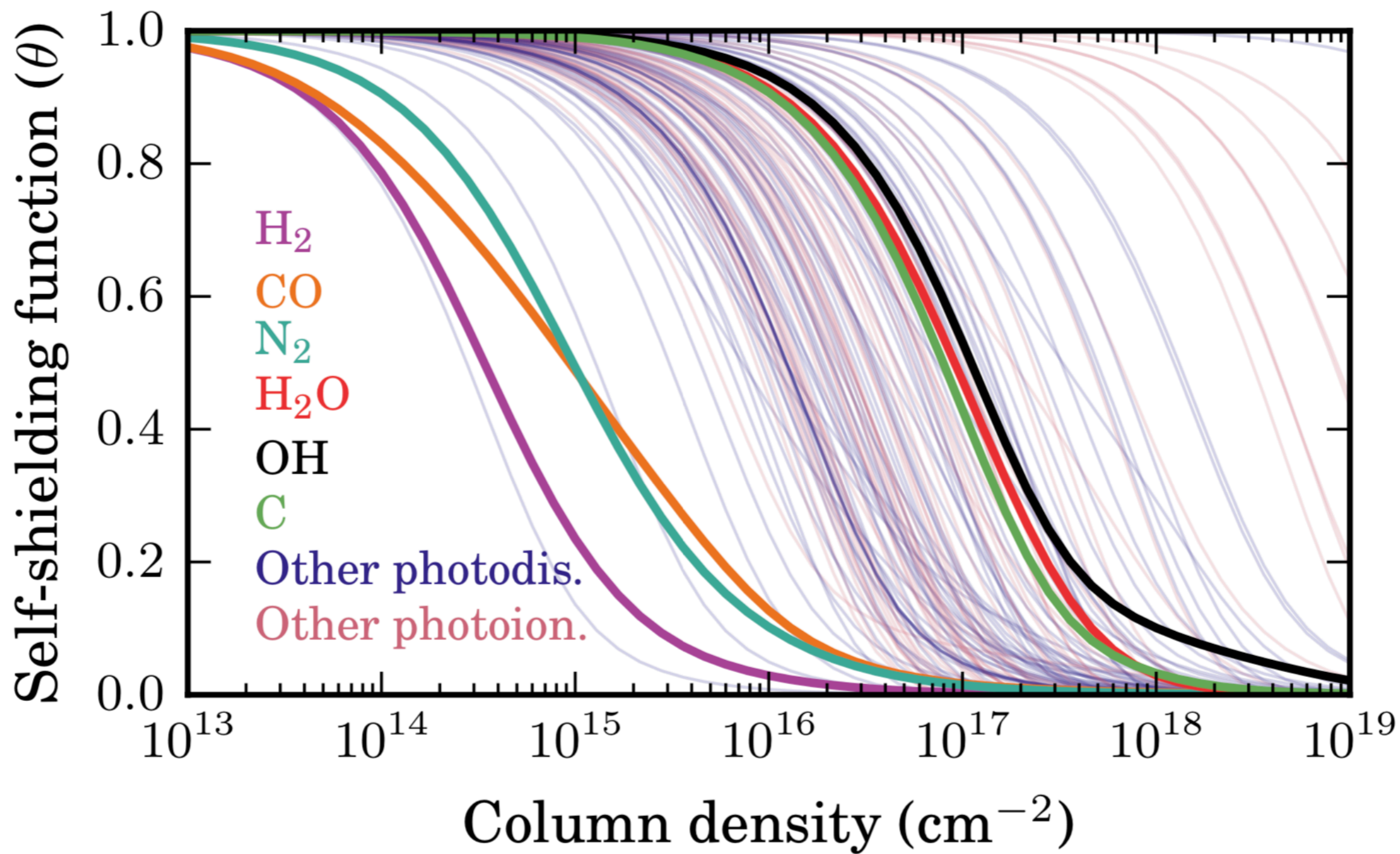
Heays et al (2017)

- Relative importance of different reactions depends on local UV radiation spectrum

- Photochemical rates can be dramatically reduced by **shielding** of gas from incident radiation
- Two main processes:
  - Dust shielding
  - Self-shielding
- At solar metallicity, dust shielding becomes important for H column densities  $\sim 10^{21} \text{ cm}^{-2}$  ( $A_V \sim 0.5$ )
- At lower Z, need much higher column density to get comparable  $A_V$  — dust shielding less effective



- For line-driven photodissociation, line absorption removes just those photons needed to cause photodissociation — process known as **self-shielding**
- Required column density depends on line widths (and hence on photodissociation mechanism)
  - Ranges from  $\sim 10^{15} \text{ cm}^{-2}$  (e.g.  $\text{H}_2$ ) —  $10^{17} \text{ cm}^{-2}$  (e.g. OH)
  - Important process for  $\text{H}_2$ , since  $\text{H}_2$  column densities easily exceed this
  - Few other molecules have high enough column densities, so minor process for most species



Heays et al (2017)



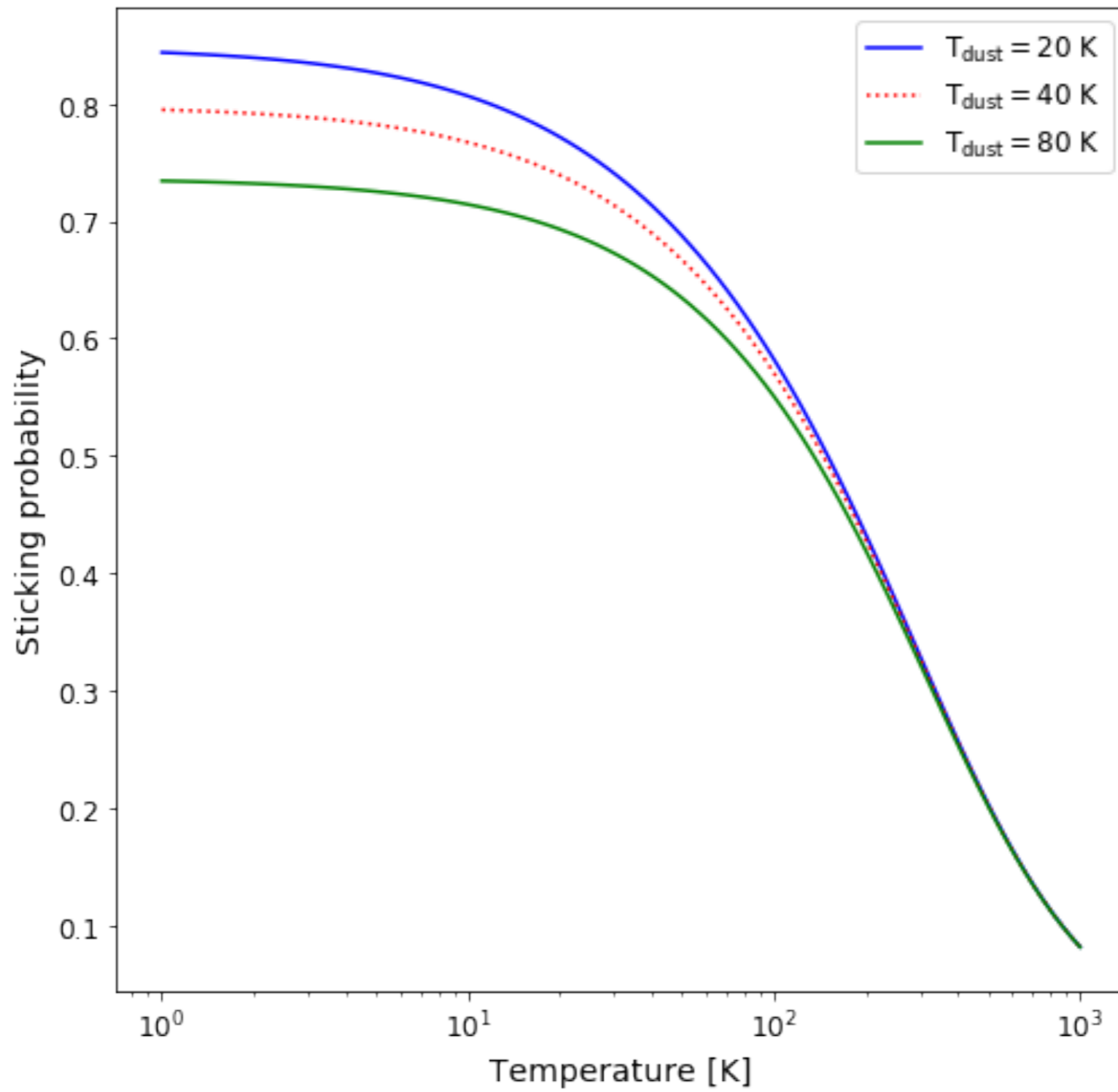
# Grain surface chemistry

- So far, we have considered only processes in gas phase
- However, chemical reactions can also occur on the surface of dust grains
- Basic mechanism:
  - Adsorption of reactants onto grain surface
  - Reactants encounter each other and react
  - Desorption back into gas phase

# Adsorption

- Atom or molecule encounters grain — rate at which this occurs can be treated classically
- Near surface, reactant attracted by van der Waals force
- If kinetic energy low, reactant can become physically bound to grain surface — **adsorption**
- If kinetic energy too high, reactant bounces off
- Probability of adsorption known as **sticking probability**

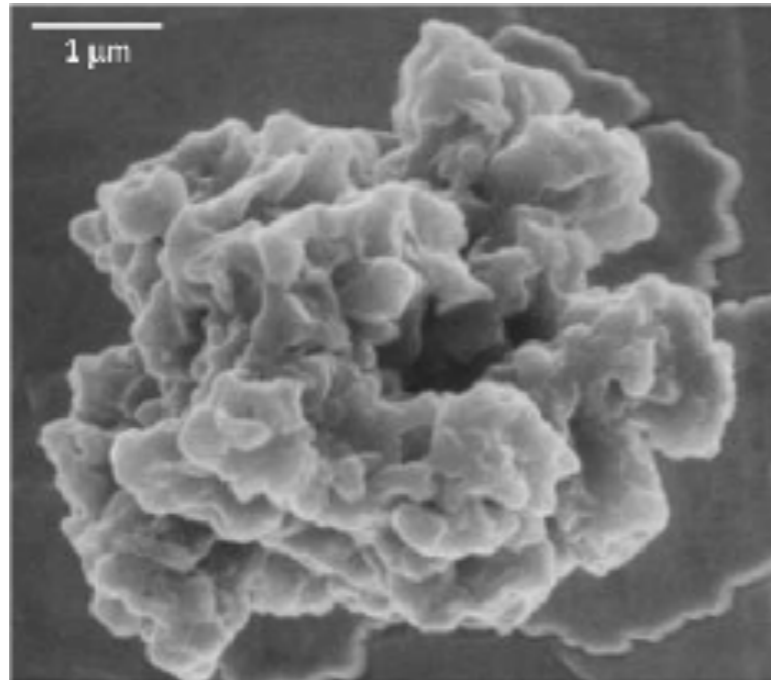
# Sticking probability for atomic H



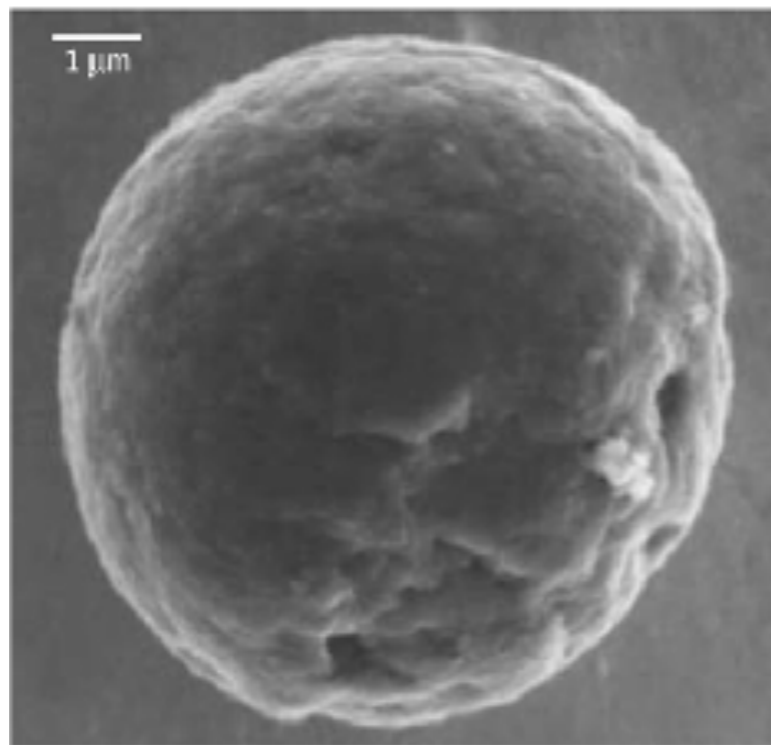
# Motion on the surface

- van der Waals force weak — binding energy low
- Reactant can thermally “hop” around on surface
- Light reactants (especially atomic H) can also move around on surface by quantum tunnelling
- Effectiveness of hopping depends on size of binding energy and grain temperature

- Three possible outcomes:
  - Evaporation: reactant becomes unbound from surface
  - Reaction: encounter and reaction with another reactant
  - Trapping: atom forms a stronger bond with the surface, either due to surface geometry or due to formation of covalent chemical bond — **chemisorption**
- Once trapped, reactants generally cannot move around on surface
- Can still react, if encountered by mobile reactant or if it interacts directly with gas phase reactant



Silicon Carbide (SiC)



Graphite

- **Langmuir-Hinshelwood** mechanism:
  - Reaction between two adsorbed reactants
  - $A(s) + B(s) \rightarrow AB(s)$  [or  $AB(g)$ ]
- **Eley-Rideal** mechanism:
  - Reaction between adsorbed reactant and gas-phase reactant
  - $A(s) + B(g) \rightarrow AB(g)$

- Evaporation efficiency highly sensitive to binding energy and dust grain temperature:

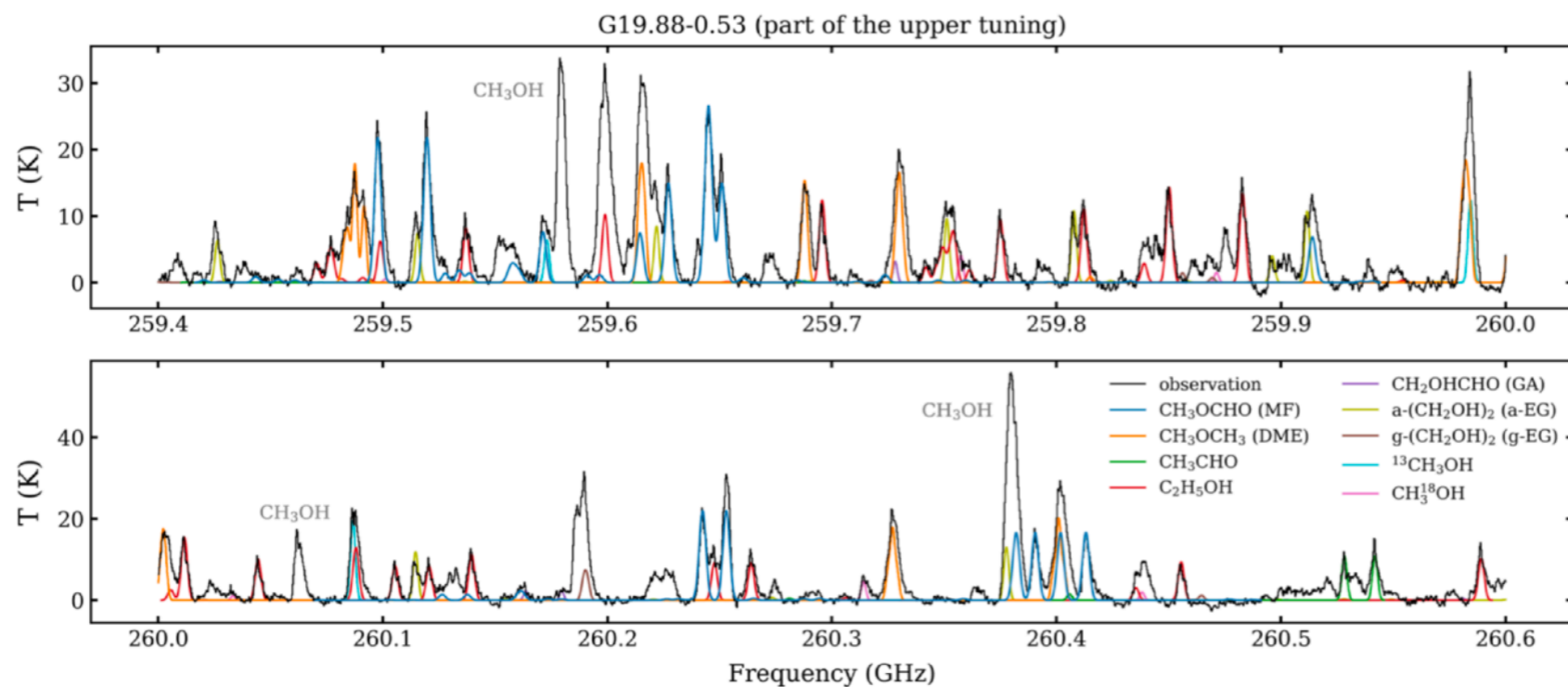
$$t_{\text{evap}} \simeq 10^{-13} \exp\left(\frac{E_{\text{bind}}}{T_{\text{dust}}}\right) \text{ s}$$

- Examples for 20 K dust:
  - H<sub>2</sub> — E<sub>bind</sub>/k ~ 300 K → t<sub>evap</sub> ~ 3 × 10<sup>-7</sup> s
  - CO — E<sub>bind</sub>/k ~ 900 K → t<sub>evap</sub> ~ 3 × 10<sup>6</sup> s
- Doubling dust temperature reduces t<sub>evap</sub> for CO to a small fraction of a second

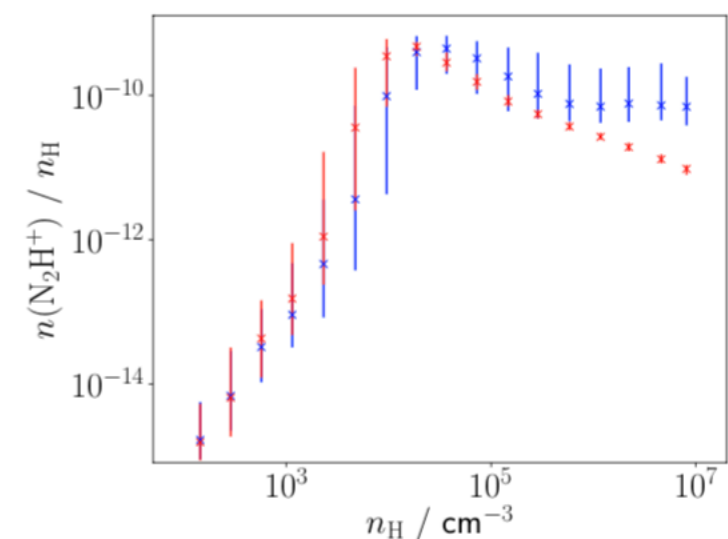
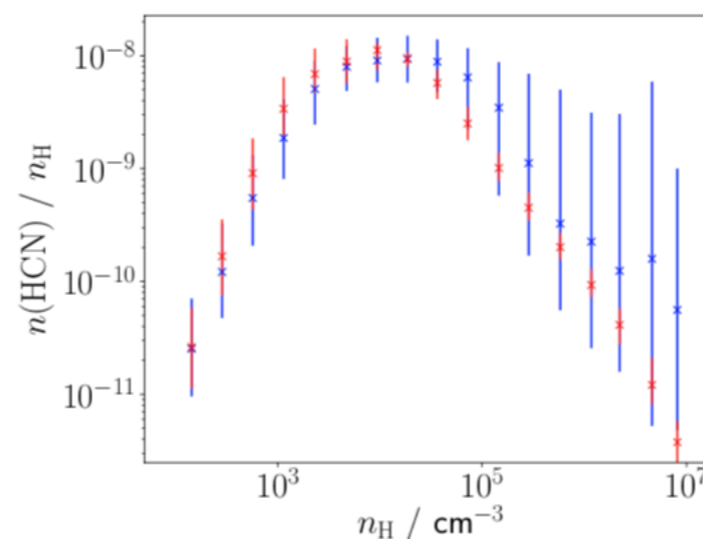
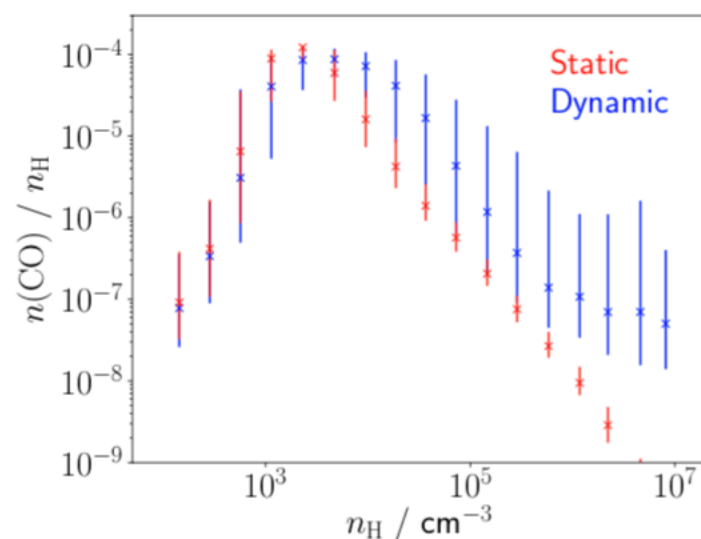


- Warm dust chemically inefficient — difficult for species to remain on surface long enough to react
- Cold dust chemically efficient, but hard to get reaction products back into gas phase (unless weakly bound)
- BUT: what if dust is cold for a while, then warmed up?
- Expect considerable surface chemistry while dust is cold, products then ejected in gas phase once dust heated
- Strong observational evidence for this: **hot cores**

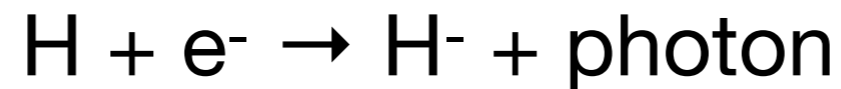
- Hot cores are small pockets of warm, dense molecular gas surrounding high mass protostars
- Protostellar heating implies high dust temperatures
- Hot cores observed to be chemically rich — particularly notable for high abundances of complex organics



- In cold regions, difficult to remove molecules from grain surfaces, so at high densities find that some species **freeze-out** of gas phase
- Importance of freeze-out depends on binding energy: e.g. water is frozen out even at low density, but CO only at high density, and H<sub>2</sub> not at all
- Selective depletion of some species at high densities can lead to chemical changes in gas phase



- Most important astrochemical role of dust: allows the formation of H<sub>2</sub> in large quantities at GMC densities
- Direct gas-phase formation of H<sub>2</sub> extremely slow — would need longer than age of Universe to convert all H to H<sub>2</sub>
- Indirect formation possible via H<sup>-</sup> ion:

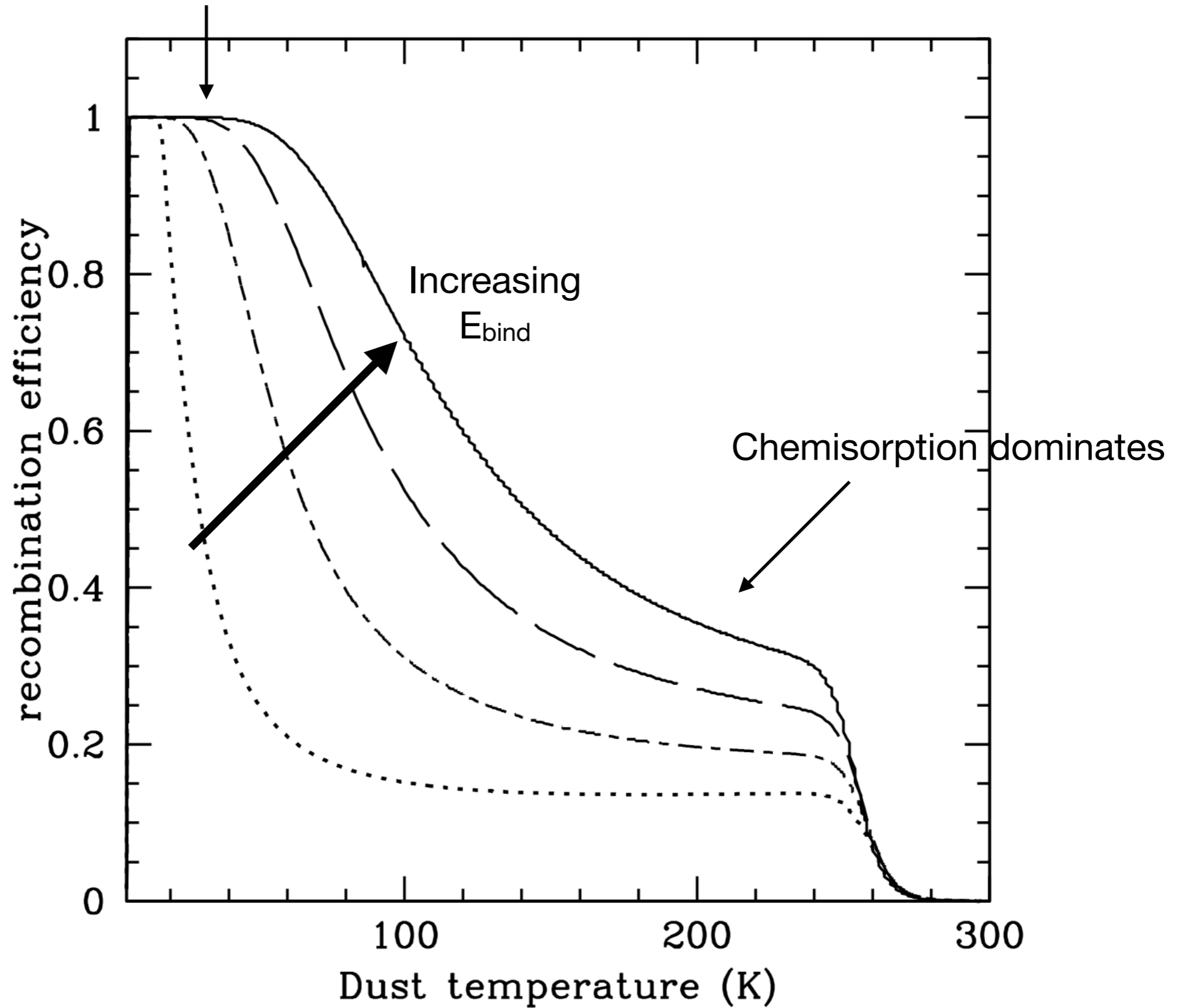


- Initial radiative association reaction slow (albeit ~12 orders of magnitude faster than H + H reaction)

- H- pathway dominates H<sub>2</sub> formation in metal-free gas
- Limited by slow initiating reaction, dependence on e-
- Once gas recombines, H<sub>2</sub> formation stops
- Difficult to make H<sub>2</sub> fractions larger than ~1% in this way
- Other gas-phase pathways exist (e.g. via H<sub>2</sub><sup>+</sup>, or three-body formation) but are less efficient at ISM densities
- Bottom line: gas-phase formation of H<sub>2</sub> ineffective

- Most H<sub>2</sub> in ISM forms via a grain surface reaction
- Formation efficiency  $\sim 1$  for cold dust
- Sticking probability  $\sim 1$  for cold gas
- To a first approximation, every H atom that collides with a grain ends up in an H<sub>2</sub> molecule
- H<sub>2</sub> formation rate therefore set by collision rate of H atoms with dust grains, hence by grain properties

Physisorption dominates



- For Milky Way dust, H<sub>2</sub> formation rate in cold ISM is:

$$R_{\text{H}_2, \text{dust}} \simeq 3 \times 10^{-17} \left( \frac{T}{100} \right)^{1/2} n n_{\text{H}} \text{ cm}^{-3} \text{ s}^{-1}$$

- Corresponding H<sub>2</sub> formation timescale:

$$t_{\text{form}, \text{H}_2} \simeq \frac{1}{3 \times 10^{-17} n} \simeq 10^9 n^{-1} \text{ yr}$$

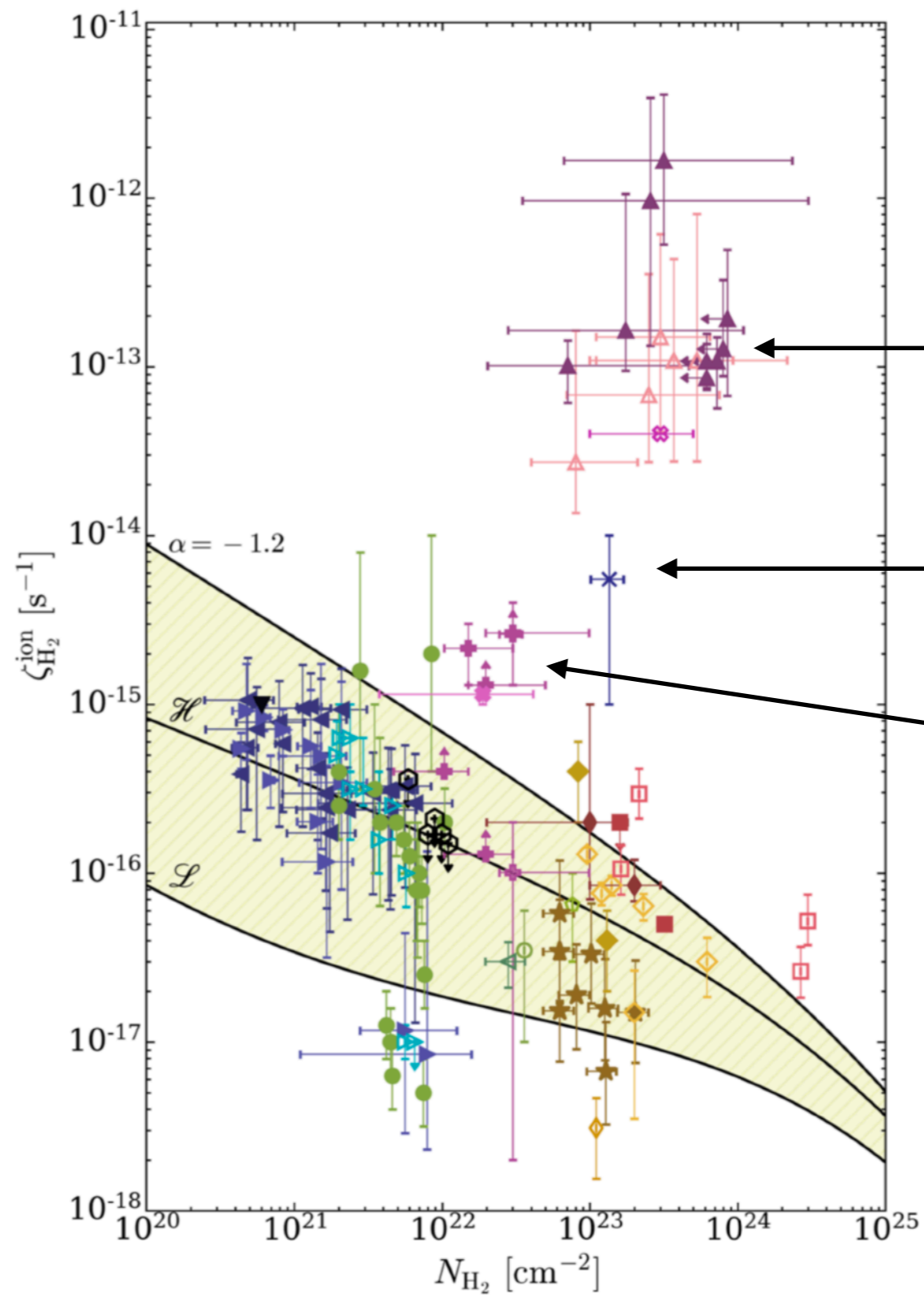
- GMC densities typically exceed 100 cm<sup>-3</sup>, implying H<sub>2</sub> formation timescales of a few Myr
- Supersonic turbulence further accelerates this



# Cosmic rays

- Low energy cosmic rays (CRs) also impact ISM chemistry
- Two effects:
  - Primary ionisation: CR collides with atom or molecule and collisionally ionises it
  - Secondary ionisation: high energy electron resulting from primary ionisation causes additional ionisations
- Often don't distinguish between these processes

- Cosmic ray ionisation rate depends on:
  - Local energy density of low energy cosmic rays
  - Target atom/molecule — cross-section varies
- Low energy CRs lose significant energy while interacting with clouds → ionisation rate depends on  $N_H$
- Short mean free path of low energy CRs in galaxy implies spatial variations in energy density can be large
  - E.g. considerable evidence for elevated energy density, ionisation rate in CMZ



CMZ in NGC 253

MW CMZ

Clouds near SN remnants

Padovani (2023)

- Cosmic rays play important role in initiating chemistry in dense molecular gas
  - Ion-neutral reactions often have no activation energy
  - Neutral-neutral reactions often have activation energy
  - At low  $T$  ( $\sim 10$ - $20$  K), ion-neutral reactions much faster
- Cosmic rays can destroy molecules at high  $A_V$ , where UV photodissociation is ineffective
  - Efficient destruction of CO inside GMCs when CR ionisation rate is high?

# Summary

- Gain considerable insight from treating reactions semi-classically, writing rate as product of collision rate, reaction probability
- Collision rate depends on long-range interaction
  - Strong interactions (e.g. Coulomb) yield higher rates that decrease with increasing  $T$
  - Weak interactions yield smaller rates that remain constant or increase with  $T$

- Often good approximation to take  $p_{\text{react}} = 1$
- Important cases when this is not true:
  - Endothermic reactions —  $p_{\text{react}} = 0$  if insufficient energy
  - Radiative association, recombination etc. — chance of emitting photon in any given collision small,  $p_{\text{react}} \ll 1$
- Photoionisation, photodissociation play important role in ISM chemistry at low  $A_V$ , but negligible at high  $A_V$
- Grain surface chemistry mostly only important at high densities (freeze out, desorption in hot cores)
  - **Major exception:**  $\text{H}_2$  formation on grain surfaces

- CR ionisation also plays important role in ISM chemistry
- Dominated by low energy CRs
  - Spatial variation **within** clouds
  - Spatial variation **between** clouds
- Modelling of this in large simulations often still quite crude

# Online resources

(A very incomplete list...)

- Grackle
  - Chemistry & cooling library
  - Fast, stable, but limited chemistry (H, H<sub>2</sub>)
  - <https://grackle.readthedocs.io/en/latest/>
- KROME
  - Package for generating routines to solve rate equations
  - Comes with many pre-packaged networks
  - <http://kromepackage.org>



- Meudon PDR code
  - Photodissociation region chemistry, cooling in slab geometry
  - Very comprehensive list of physical processes
  - <https://pdr.obspm.fr>
- UCLCHEM
  - Gas-grain code for astrochemical modelling
  - Extremely extensive set of reactions
  - Needs radiation field as input
  - <https://uclchem.github.io>

- Note: many other PDR and astrochemistry codes available — these are just a couple of examples to get you started
- Reaction rate databases — curated compilations of chemical reaction rates:
  - UMIST database (<https://umistdatabase.uk>)
  - KIDA (<https://kida.astrochem-tools.org>)
  - Ewine van Dishoeck's compilation of photoionisation, photodissociation cross-sections:
    - ▶ <https://home.strw.leidenuniv.nl/~ewine/photo/>